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Jc135 U.S. PTO 09/559118



# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

PD-N94026G

First Named Inventor or Application Identifier

DOUGLAS M. DILLON

Express Mail Label No.

EE853901666US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages
3. ☒ Drawing(s) (35 USC 113) Total Sheets
4. ☒ Oath or Declaration Total Pages 
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Unexecuted for information purposes
  - c. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed Statement attached deleting  
inventor(s) named in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4c is checked)  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under Box 4c, is considered as  
being part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application  
Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Letter Transmitting Corrected Formal Drawings with  
10 sheets of drawings

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/204,436 filed December 3, 1998.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

020991  
(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

NAME				
Address				
City	State	Zip Code		
Country	Telephone	Fax		



CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	28-20 =	8	X \$ 18.00 =	\$ 144.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2-3 =	0	X \$ 78.00 =	\$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$260.00 =	\$ 260.00
				BASIC FEE (37 CFR 1.16(a))	\$ 760.00
			Total of above Calculations =		\$1,164.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
	TOTAL =				\$1,164.00

19. Small entity status

- a. ☐ A Small entity statement is enclosed
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.


20. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

21. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 50-0383:

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME	John T. Whelan - Registration No. 32,448
SIGNATURE	
DATE	April 27, 2000

PATENT  
PD-N94026G  
Customer No.: 020991

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
DOUGLAS M. DILLON ) Examiner: D. Pan  
Appln. No.: Unassigned ) Group Art Unit: 2783  
(Divisional of )  
Appln. No. )  
09/204,436 filed )  
December 3, 1998) )  
Filed: Concurrently herewith )  
For: APPARATUS AND METHOD FOR ) April 27, 2000  
ACCESS TO NETWORK VIA )  
SATELLITE (AS AMENDED) )

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, please amend  
the above-identified application as follows:

IN THE TITLE:

Please amend the title to read as follows:

--APPARATUS AND METHOD FOR ACCESS  
TO NETWORK VIA SATELLITE--.

IN THE SPECIFICATION:

Please amend the specification as follows:

Page 1,

Line 1, insert --This application is a division of Application No. 09/204,436 filed December 3, 1998, which is a division of 08/901,152 filed July 28, 1997, Patent No. 5,995,725, which is a continuation of Application No. 08/257,670 filed June 8, 1994, now abandoned.--

Line 24, delete "is used".

Page 5,

After line 2, insert "Figs. 14 and 15 are figures from the Phase A Data Sheet incorporated herein.".

Line 21, delete ",,".

Page 7,

Line 22, after "Internet" insert --128--.

Page 8,

Line 15, after "FTP" insert --230--.

Page 9,

Line 20, change "1" to --3--.

Page 13,

Line 29, after "interface" insert --120--.

Page 14,

Line 7, change "sender's" to --sender--.

Page 17,

Line 25, change "Driver" to --driver--.

Page 20,

Line 7, after "Internet" insert --128--.

Line 11, change "net" to --Internet 128--.

Line 16, after "Internet" insert --128--.

Line 25, change "gateway 160" to  
--interface 120--.

Line 26, change "gateway 160" to  
--interface 120--.

Line 28, change "gateway 160" to  
--interface 120--.

Page 21,

Line 15, after "headers" insert --1020, 1030--.

Page 22,

Line 18, change "Corp." to --Inc.---.

Line 23, change "DirectPC" to --DirecPC--.

Line 24, change "DirectPC" to --DirecPC--.

Lines 25 through 27, change "dated June 7, 1993, which is attached as Appendix A, which is incorporated in and constitutes a part of this specification, and which is incorporated by reference." to  
--dated June 7, 1993, which is incorporated by reference and by the inclusion of its contents which read as follows:

"DirecPC is a satellite, one-way broadcast network offering three services to the IBM compatible PC:

1. Digital package delivery -- Software, games, multi-media news, electronic documents and any other data in the form of a collection of PC files are made available to the PC on a scheduled or on-demand basis.
2. Data Pipe -- provides multiple independent digital streams to carry video, audio, etc.
3. Hybrid Internet Access -- high-speed, low-cost Internet connection where DirecPC carries packets from the Internet and dial-up modem carries packets into the Internet.

See Fig. 14.

To receive the DirecPC broadcast, a PC is equipped with a PC plug-in card and a 24 inch antenna. DirecPC uses a full Galaxy class Ku-Band transponder to provide an 11 Mbps broadcast channel. DES encryption based conditional access ensures that a receiver PC may only access data it is authorized to receive.

#### Section 1 PC User Perspective

The PC hardware consists of the DirecPC adapter, an antenna and a TVRO standard coaxial cable. The DirecPC adapter is a 16-bit ISA adapter providing throughput comparable to a 16-bit ISA ethernet adapter.

The software appears to the user as a set of Windows applications. The applications:

- assist installation and service registration.
- support package delivery by allowing the user to select packages for reception, be notified when packages are received. The software also supports billing for packages received.

- provide a TCP/IP protocol stack and set of applications for Hybrid Internet access.
- provide a driver DLL on which third party software may layer data pipe applications.

The software for a data pipe service is provided by the enterprise providing the service.

Communications back to the uplink is required for billing purposes and also for Hybrid Internet access. These communications take place via the PC's dial-up AT command-set modem.

## Section 2 Open Interfaces And APIs

The DirecPC architecture is open, allowing content providers complete control over their content and the user interface to their content. DirecPC provides interfaces to content providers at the uplink and Application Programming Interfaces (APIs) on the receiving PC. The specifications and APIs are available on request.

See Fig. 15.



### Section 3 Content Providers

A content provider is an organization that supplies the data sent over the DirecPC system. A content provider can be categorized as being either a:

1. Package Publisher -- uses the DirecPC system as a means of selling and distributing software packages or data packages where a package consists of a set of PC files.
2. Data Pipe Provider -- uses the DirecPC system as a data pipe transport mechanism. User services (News Feeds, Internet Access, Broadcast Video and Audio, etc.) are layered on top of a datagram transport.

DirecPC supports multiple content providers of both kinds.

### Section 4 DirecPC Package Distribution

The DirecPC system allows data packages to be distributed and purchased. The term "package" refers to any data (including electronic

documents, multi-media data, software packages, games, etc.) which can take the form of a group of PC files.

To prepare a package for transmission, a publisher merges the package's files into a single file using the appropriate utility (e.g. PKZIP or ARJ) and loads the package into the uplink using an off-the-shelf file transfer mechanism (e.g. TCP/IP's FTP, floppy-disk, CD-ROM, X-Modem, etc.). Scheduling, pricing and conditional access restrictions can be performed either manually or automatically under publisher control when the package is loaded into the uplink.

DirecPC's conditional access mechanism ensures that a user may only receive authorized packages. As part of initial registration, the user is provided a credit limit. The PC locally maintains a credit account. When the user selects a package for reception, the PC records the transaction and debits the account. A log of all package receptions is maintained on the PC's hard disk and can be browsed by the graphical front-end.

On uplink operator command, when the local credit limit is exceeded or when the user has purchased a certain number of packages, the PC makes a dial-up call to the DirecPC billing service. The call reports the billing information as well as usage information of packages received.

The usage information is used to provide feedback for future scheduling of packages. The reports given to publishers include for each package reception, the name, address etc. of the recipient, the ID of the package and when package delivery took place.

A software package may either be transmitted on a scheduled basis or on-demand. Scheduled transfers are perfect for:

1. Periodical Distribution -- examples include news and weather updates, electronic newspaper, magazine and catalog distribution.
2. Popular Package Delivery -- packages for which there are expected to be multiple recipients. The most popular (or highest profit) packages would be scheduled more

frequently to reduce the average time spent waiting, while less popular packages may be scheduled for overnight delivery. Scheduled delivery is lower cost than delivering a package on-request to each buyer. The schedule for individual packages is manually set by hub operators with the submission of the package.

Phase A package delivery allows a single transmission at any given time. The rate of transmission is settable under operator control at speeds up to 2 Mbits/sec. Support for simultaneous transmissions will be provided in a subsequent release of DirecPC software.

A software package may be transmitted on-demand in the gaps between scheduled transmissions. Such a transfer delivers the information more quickly to the requesting PC, but at greater cost as the package is not broadcast. A PC uses its modem to request the package.

DirecPC's low bit error rate and high availability ensure that packages are reliably delivered with one transmission. For even grater reliability,

each package may be set to employ one or more of the following methods to ensure fail-safe delivery:

1. Repeated Transmission -- A package may be scheduled to be sent more than once to ensure its delivery. A receiving PC, if any packets are lost on the first transmission, fills in the gaps on subsequent transmissions. This mechanism ensures extremely high probability of delivery without requiring use of a return link.
2. Retransmission requests -- a PC, if it misses parts of a package, may request retransmission of those parts. The missing parts are multi-cast so that parts need only be retransmitted once even though they were missed by multiple PCS. Retransmission requests are most appropriate for scheduled individual package transmissions where the package is scheduled less frequently.
3. Delivery confirmation -- a PC, after successfully receiving and installing a package, may send a confirmation to the hub.

These confirmations are tabulated and provided in the form of reports to the publisher. This method is more expensive in that it requires that a delivery confirmation (entailing a separate call) be sent by every receiving PC.

## Section 5 Data Pipe Transmission

DirecPC's data pipe services are modelled on Local Area Network multi-cast transmission. The data pipe provider passes 802.2 LLC1 Token-Ring or Ethernet multi-cast packets to the uplink. This allows off-the-shelf bridges and routers to be used to support a terrestrial backhaul. It also allows some LAN based applications to operate across the spacelink with little or no modification. The uplink relays these packets across the spacelink. The DirecPC driver passes received packets to the applications. To prevent unauthorized access, each multi-cast address is encrypted under a different key. The DirecPC device driver API allows applications to designate which multi-cast addresses are of interest. Hardware filtering in the DirecPC adapter allows

the reception of any 100 different multi-cast addresses.

DirecPC network management allocates to each service provider:

1. a Committed Information Rate (CIR) -- a fraction of broadcast channel bandwidth which is guaranteed to the data pipe provider, and
2. one or more multi-cast 48 bit addresses -- each address operates as a separate data stream multiplexed on the one broadcast channel.

## Section 6 Hybrid Internet Access

Hybrid Internet access allows a PC high-speed (over 100 Kbps) access to the Internet. An HNS (Hughes Network Systems) provided NDIS device driver operates with an off-the-shelf TCP/IP package. Reception from the Internet takes place via DirecPC. Transmission into the Internet takes place via a dial-up SLIP connection into the uplink. Hybrid Internet Access allows operation of all the standard Internet applications

including SMTP EMAIL, NNTP Usenet News, FTP, GOPHER and Mosaic. As part of initial registration, each receiving PC is provided a permanently assigned IP address.

Hybrid Internet Access is the result of joint development by HNS and the University of Maryland funded in part by a MIPs grant. Continuing development will increase performance and allow receive-only reception of Usenet News.

#### Section 7 Performance Specifications

Averaged across a whole year, each DirecPC receiver should be expected to have a BER less than  $10E-10$  more than 99.5% of the time where a single bit error causes the loss of an entire packet.

#### Section 8 User Characteristics

The receiver (antenna, cabling and PC plug-in card) is intended to be self-installable by consumers and small business. In cases where self-installation is not desirable, the DirecPC adapter will be installed by the customer and the



antenna and cable will be installed by the HNS VSAT installers. The customer uses diagnostic software provided with the adapter to ensure that the PC as a whole is ready for the antenna to be installed.

Maintenance will be performed either by the user swapping components (DirecPC adapter, LNB, etc. with telephone support). HNS's nationwide VSAT field-service network may also be contracted for."--.

Page 24,

line 18, after "gateway" insert --150--.

IN THE CLAIMS:

Please cancel Claims 1 through 19 without prejudice to or disclaimer of the subject matter recited therein.

Please add Claims 20 through 40 as follows:

--20. An apparatus comprising:

a receiving unit connectable to a satellite dish and configured to receive a TCP/IP packet from a TCP/IP

network via a satellite that transmits the TCP/IP packet to the satellite dish,

wherein a request transmitted from said apparatus to the TCP/IP network comprises an IP address associated with said receiving unit so that a response from the TCP/IP network addressed to the IP address associated with said receiving unit will be sent to said apparatus via the satellite and the satellite dish to be received by said receiving unit.

21. An apparatus according to Claim 20, wherein said receiving unit determines whether or not a received TCP/IP packet has a destination IP address matching the IP address associated with said receiving unit.

22. An apparatus according to Claim 20, wherein the request transmitted from said apparatus to the TCP/IP network is not transmitted via satellite.

23. An apparatus according to Claim 20, wherein the request transmitted from said apparatus to the TCP/IP network is transmitted via a dialup connection.

24. An apparatus according to Claim 20, wherein the IP address associated with said receiving unit is assigned by an internet service provider.

25. An apparatus according to Claim 20, wherein the IP address associated with said receiving unit is different from a source IP address of the request transmitted from said apparatus to the TCP/IP network.

26. An apparatus according to Claim 20, wherein the request transmitted from said apparatus to the TCP/IP network is generated using a browser.

27. An apparatus according to Claim 20, wherein the request transmitted from said apparatus to the TCP/IP network is generated using FTP.

28. An apparatus according to Claim 20, wherein the TCP/IP network is the internet, and said apparatus is connectable to a personal computer.

29. An apparatus according to Claim 28, wherein said apparatus is embodied as an adapter card.

30. A method comprising:

receiving by an apparatus connectable to a satellite dish of a TCP/IP packet from a TCP/IP network via a satellite that transmits the TCP/IP packet to the satellite dish,

wherein a request transmitted from the apparatus to the TCP/IP network comprises an IP address associated with the apparatus so that a response from the TCP/IP network addressed to the IP address associated with the apparatus will be sent to the apparatus via the satellite and the satellite dish to be received in said receiving step.

31. A method according to Claim 30, wherein said receiving step determines whether or not a received TCP/IP packet has a destination IP address matching the IP address associated with the apparatus.

32. A method according to Claim 30, wherein the request transmitted from the apparatus to the TCP/IP network is not transmitted via satellite.

33. A method according to Claim 30, wherein the request transmitted from the apparatus to the TCP/IP network is transmitted via a dialup connection.

34. A method according to Claim 30, wherein the IP address associated with the apparatus is assigned by an internet service provider.

35. A method according to Claim 30, wherein the IP address associated with the apparatus is different from a

source IP address of the request transmitted from the apparatus to the TCP/IP network.

36. A method according to Claim 30, wherein the request transmitted from the apparatus to the TCP/IP network is generated using a browser.

37. A method according to Claim 30, wherein the request transmitted from the apparatus to the TCP/IP network is generated using FTP.

38. A method according to Claim 30, wherein the TCP/IP network is the internet, and the apparatus is connectable to a personal computer.

39. A method according to Claim 38, wherein the apparatus is embodied as an adapter card.

40. A driver for use in a personal computer for effecting the method according to any of Claims 30 through 37.--

REMARKS

Favorable consideration and allowance of the subject application are respectfully solicited.

009240" 8769550

This application is a divisional application of Application No. 09/204,436 filed December 3, 1998 (the "'436 Application"), which is a division of 08/901,152 filed July 28, 1997 (the "'152 Application"), Patent No. 5,995,725, which is a continuation of Application No. 08/257,670 filed June 8, 1994, now abandoned (the "'670 Application") (collectively, the "parent applications").

Claims 20 through 40 are pending, with Claims 20 and 30 being independent. Claims 1 through 19 have been cancelled without prejudice. Claims 20 through 40 have been added.

The specification has been amended, inter alia, to include a number of changes made in the parent applications. For example, by this amendment and the Letter Transmitting Corrected Formal Drawings, the Appendix of the application has been transferred to the point in the specification at which it was incorporated by reference.

The title has been amended.

#### REQUEST FOR INTERVIEW

If any questions remain, Applicant respectfully requests that the Examiner contact Applicant's representative, John T. Whelan, at (301) 428-7172.

CONCLUSION

Applicant submits that this application is in condition for allowance, and a Notice of Allowance is respectfully requested.

Applicant's undersigned attorney may be reached at (301) 428-7172. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

 04-27-00

John T. Whelan  
Attorney for Applicant  
Registration No. 32,448

HUGHES ELECTRONICS CORPORATION  
Bldg. 001, M/S-A109  
P.O. Box 956  
Los Angeles, CA 90245-0956

UNITED STATES PATENT APPLICATION

of

DOUGLAS DILLON

for

APPARATUS AND METHOD FOR HYBRID NETWORK ACCESS

009240-8162560

LAW OFFICES

HEGAN, HENDERSON  
RABOW, GARRETT  
& DUNNER

801 STREET, N. W.  
WASHINGTON, DC 20005  
202-406-4000



Background of the Invention

This application relates to a computer network and, more specifically, to a method and apparatus for allowing both high-speed and regular-speed access to a computer network.

The Internet is an example of a TCP/IP network. The Internet has over 10 million users. Conventionally, access to the Internet is achieved using a slow, inexpensive method, such as a terrestrial dial-up modem using a protocol such as SLIP (Serial Line IP), PPP, or by using a fast, more expensive method, such as a switched 56 Kbps, frame relay, ISDN (Integrated Services Digital Network), or T1.

Users generally want to receive (download) large amounts of data from networks such as the Internet. Thus, it is desirable to have a one-way link that is used only for downloading information from the network. A typical user will receive much more data from the network than he sends. Thus, it is desirable that the one-way link be able to carry large amounts of data very quickly. What is needed is a high bandwidth one-way link that is used only for downloading information, while using a slower one-way link [is used] to send data into the network.

Currently, not all users have access to high speed links to networks. Because it will take a long time to connect all users to networks such as the Internet via physical high-speed lines, such as fiber optics lines, it is desirable to implement some type of high-speed line that uses the existing infrastructure.

5 Certain types of fast network links have long propagation delays. For example, a link may be transmitting information at 10 Mbps, but it may take hundreds of milliseconds for a given piece of information to travel between a source and a destination on the network. In addition, for even fast low-density links, a slow speed return-link may increase the round trip propagation time, and thus limit throughput. The TCP/IP protocol, as commonly implemented, is not designed to operate over fast links with long propagation delays. Thus, it is desirable to take the propagation delay into account when sending information over such a link.

#### Summary of the Invention

The present invention overcomes the problems and disadvantages of the prior art by allowing a user to download data using a fast one-way satellite link, while using a conventional low-speed Internet connection for data being sent into the network. The invention uses a "spoofing" technique to solve the problem of the long propagation delays inherent in satellite communication.

" In accordance with the purpose of the invention, as embodied and broadly described herein, the invention is a network system that forms a part of a network, comprising: a source computer, having a link to the network; a destination computer, having a link to the network; a satellite interface between the source computer and the destination computer, wherein information passes from the source computer to the destination computer; means in the destination computer for requesting information from the source computer over the network; means for receiving an information packet sent from the source computer in response to the request

5 and for sending the information packet to the destination computer over the satellite interface; and means for sending an ACK message to the source computer in response to receipt of the information packet, wherein the ACK message appears to the source computer to have come from the destination computer.

10 In further accordance with the purpose of the invention, as embodied and broadly described herein, the invention is a gateway in a network system that forms a part of a TCP/IP network, wherein the network includes a source computer having a link to the TCP/IP network and a link to a high speed satellite interface, and a  
15 destination computer having a link to the TCP/IP network and a link to the high speed satellite interface, the gateway comprising: means for receiving an information packet sent from the source computer and for sending the information packet to the destination computer over the satellite interface; and means for  
20 sending an ACK message to the source computer in response to receipt of the information packet, wherein the ACK message appears to the source computer to have come from the destination computer.

25 Objects and advantages of the invention will be set forth in part in the description which follows and in part will be obvious from the description or may be learned by practice of the invention. The objects and advantages of the invention will be realized and attained by means of the elements and combinations particularly pointed out in the appended claims.

5

### Brief Description of the Drawings

The accompanying drawings, which are incorporated in and constitute a part of this specification, illustrate several embodiments of the invention and, together with the description, serve to explain the principles of the invention.

10

Fig. 1 is a hardware block diagram of a preferred embodiment of the invention;

Fig. 2 is a diagram of a portion of a hybrid terminal of Fig. 1;

Fig. 3 is a diagram showing an IP packet format;

Fig. 4 is a diagram showing a plurality of packet formats, including an Ethernet packet format;

Fig. 5 is a diagram showing a tunnelling packet format;

Fig. 6 is a diagram of steps performed by the hybrid terminal of Fig. 1;

Fig. 7 is a diagram showing an example of partial data in a tunnelling packet;

Fig. 8 is a flowchart of steps performed by the hybrid terminal of Fig. 1;

Fig. 9 is a diagram of steps performed by a hybrid gateway of Fig. 1;

Fig. 10 is a diagram showing a format of packets sent to a satellite gateway of Fig. 1;

Fig. 11 is a diagram showing a TCP packet format;

Fig. 12 is a ladder diagram showing packets sent from an application server to the hybrid gateway and from the hybrid gateway to the hybrid terminal over a satellite link; and

5 Figs. 13(a) through 13(e) are flowcharts of steps performed by the hybrid gateway of Fig. 1.

Detailed Description of the Preferred Embodiments

Reference will now be made in detail to the preferred embodiments of the invention, examples of which are illustrated in the accompanying drawings. Wherever possible, the same reference numbers will be used throughout the drawings to refer to the same or like parts.

a. General Overview

15 A preferred embodiment of the present invention uses satellite technology to implement a high-speed one way link between a user's computer and a TCP/IP network, such as the Internet or a private TCP/IP network. This high-speed link is used to download data from the network. The user's computer also has a conventional TCP/IP link for sending data to the network. 20 The invention can use various forms of high-speed, one-way links, such as satellites, and cable television lines. The invention can use various forms of low-speed networks, such as TCP/IP networks, dialup telephones, ISDN D-channel, CPDP, and low-speed satellite paths.

25 The described embodiment of the present invention uses satellites to provide a high-speed one-way link. Satellites can cover large geographical areas and are insensitive to the distance between a transmitter and a receiver. In addition, satellites are very efficient at point-to-point and broadcast applications, and are resilient and resistant to man-made disasters. Two-way satellites are expensive to use, however, because of the costs

5 involved in purchasing and installing satellite earth station hardware. In the past, these costs have placed satellite communications outside the reach of the consumer.

The present invention allows a personal computer to receive downloaded information from the network via a satellite at a very practical cost. In the present invention, the cost of satellite communications is reduced because a one-way satellite link is used. Receive-only earth station equipment is cheaper to manufacture because it requires less electronics than send/receive antennae.

15 As is well-known in the art, communication over the Internet and similar TCP/IP networks is achieved through a group (suite) of protocols called Transmission Control Protocol/Internet Protocol (TCP/IP). The TCP/IP protocol is described in the book "Internetworking With TCP/IP, Vol I" by Douglas Comer, published by Prentice-Hall, Inc., of Englewood Cliffs, N.J., 1991, which is incorporated by reference.

20 "b. Hybrid TCP/IP Access

Fig. 1 is a hardware block diagram of a preferred embodiment of the invention. Fig. 1 includes five subsystems: a hybrid terminal 110, a SLIP provider (Internet connection) 130, an application server 140, a hybrid gateway 150, and a satellite gateway 160. Hybrid terminal 110 is connected to a modem 190, e.g., a 9600 baud modem, which connects to SLIP provider 130 through a telephone line 192. A satellite transmitter 170, a satellite 175, and a satellite receiver 180 provide a fast, one-way link for transferring data from satellite gateway 160 to

hybrid terminal 110. Each of SLIP provider 130, application server 140, and hybrid gateway 150 are connected to the Internet 128. As is well-known in the art, the Internet 128 is a "network of networks" and can be visually depicted only in general terms, as seen in Fig. 1.

Each of hybrid terminal 110, SLIP provider 130, application server 140, hybrid gateway 150 and satellite gateway 160 includes a processor (not shown) that executes instructions stored in a memory (not shown). Other parts of the invention also include processors that are not discussed herein, such as I/O processors, etc. Preferably, hybrid terminal 110, hybrid gateway 150, and satellite gateway 160 are implemented as personal computers including an 80386/80486 based personal computer operating at least 33 MHz, but these elements can be implemented using any data processing system capable of performing the functions described herein. In the described embodiment, SLIP provider 130 is a conventional SLIP provider and application server 140 is any application server that can connect to the Internet via TCP/IP.

As shown in Fig. 1, hybrid terminal 110 preferably includes application software 112, driver software 114, a serial port 122 for connecting hybrid terminal 110 to modem 190, and satellite interface hardware 120 for connecting hybrid terminal 110 to satellite receiver 180.

Fig. 2 shows a relationship between software in application 112, software in driver 114, serial port 122, and satellite interface 120. Application software 112 includes TCP/IP software, such as SuperTCP, manufactured by Frontier, Inc., Chameleon,

5 manufactured by Netmanager, and IRNSS, manufactured by Spry, Inc.  
The described embodiment preferably operates with the SuperTCP  
TCP/IP package and, thus, uses a standard interface 212 between  
the TCP/IP software 210 and driver 114. Examples of standard  
10 interfaces 212 between TCP/IP software 210 and driver 114 include  
the Crynson-Clark Packet Driver Specification and the  
3Com/Microsoft Network Driver Interface Specification (NDIS).  
Other embodiments use other standard or non-standard interfaces  
between TCP/IP software 210 and driver 114.

15 As shown in Fig. 2, application software 112 also includes  
well-known Internet utilities, such as FTP, and well-known user  
interfaces, such as Mosaic and Gopher (shown). Application  
software 112 can also include other utilities, e.g., News and  
Archie (not shown).

20 The following paragraphs describe how a request from hybrid  
terminal 110 is carried through the Internet 128 to application  
server 140 and how a response of application server 140 is carried  
back to the user at hybrid terminal 110 via the satellite link.  
The operation of each subsystem will be described below in detail  
in separate sections.

25 In the present invention, hybrid terminal 110 is given two IP  
addresses. One IP packet address corresponds to SLIP provider 130  
and is assigned by a SLIP service provider. The other IP address  
corresponds to satellite interface 120 and is assigned by a hybrid  
service provider. IP addresses are assigned by the SLIP and  
satellite network managers and loaded into hybrid terminal 110 as  
part of an installation configuration of the hybrid terminal's



5 hardware and software. These two IP addresses correspond to  
completely different physical networks. SLIP provider 130 does  
not "know" anything about the satellite IP address or even whether  
the user is using the satellite service. If a host somewhere in  
the Internet is trying to deliver a packet to the satellite IP  
10 address by using the Internet routing scheme of routers, gateways,  
and ARPs (Address Resolution protocol), the only way that the  
packet can reach the satellite IP interface is to traverse the  
satellite by being routed through satellite gateway 160.

The following example assumes that a user at hybrid terminal  
15 110 desires to send a request to a remote machine, such as  
application server 140, that is running FTP (File Transfer  
protocol) server software. The FTP software running on  
application server 140 receives file transfer requests and  
responds to them in an appropriate fashion.

20 Fig. 1 shows the contents of a source field (SA) and of a  
destination field (DA) of packets sent between the elements of  
Fig. 1. A request for a file and a response of a file sent from  
application server 140 to hybrid terminal 110 takes the following  
path.

- 25 1) Within hybrid terminal 110, FTP client software 230  
generates a request and passes it to TCP/IP software  
210. TCP/IP software 210 places the request in a TCP  
packet (see Fig. 11). Next, the TCP packet is placed in  
an IP packet, having a format shown in Fig. 3. TCP/IP  
software 210 places the IP packet in an Ethernet packet,  
as shown in Fig. 4, and passes the Ethernet packet to

5

driver 114. This packet has a source IP address corresponding to satellite interface 120 and a destination IP address of application server 140.

10

- 2) In driver 114, the Ethernet header and checksum are stripped off the packet and the IP packet is encapsulated, or "tunneled," inside of another IP packet and sent over serial port 122 to SLIP provider 130. Fig. 5 shows a format of a tunnelled packet. Fig. 7 shows an example of a tunnelled packet. The encapsulation adds a new IP header 530 in front of the original packet 540 with a source address corresponding to SLIP provider 130 and a destination address corresponding to hybrid gateway 150.

15

20

- 3) SLIP provider 130 receives the IP packet, analyzes the tunneling header and, thinking it is destined for hybrid gateway 150, uses standard Internet routing to send the packet to hybrid gateway 150.

25

- 4) When hybrid gateway 150 receives the packet, it strips off the tunneling header, revealing the true header with application server 140 as the destination. The packet is then sent back out into the Internet 128.

- 5) Internet routing takes the packet to application server 140, which replies with the requested file and addresses.

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the reply to the request's source IP address, i.e., the IP address of the hybrid terminal's satellite interface 120.

10

- 6) In order to find the hybrid terminal's satellite interface 120, the Internet routing protocol will send the packet to the subnet containing a router/gateway connected to hybrid gateway 150. When a router on the same physical network as satellite gateway 160 and hybrid gateway 150 sends out an ARP for the IP address of satellite interface 120 (to find a physical address of satellite interface 120), hybrid gateway 150 responds and says "send it to me." Thus, application server 140 and the rest of the Internet 128 thinks that packets sent to hybrid gateway 150 will reach the hybrid terminal's satellite interface.

15

20

- 7) Once hybrid gateway 150 receives a reply packet from application server 140, it sends it to satellite gateway 160. In the described embodiment, hybrid gateway 150 encapsulates the packet in a special packet format that is used over the satellite link and uses the satellite interface IP address to uniquely identify the satellite packet's destination. Then hybrid gateway 150 sends the packet over the Ethernet to satellite gateway 160.

25

5 8) Satellite gateway 160 broadcasts over the satellite link any packets it receives from hybrid gateway 150.

10 9) Driver 114 in hybrid terminal 110 that services satellite interface 120 scans all packets broadcast over satellite transmitter 170 looking for its satellite interface IP address in the header. Once it identifies one, it captures it, strips off the satellite header revealing the reply IP packet, and sends it to driver 114.

15 Thus, IP packets sent into Internet 128 are carried by the SLIP connection, while IP packets from the Internet 128 are carried by the satellite link. The following paragraphs describe the operation of each subsystem in more detail.

20 1. The Hybrid Terminal

25 Hybrid terminal 110 is the terminal with which the user interacts. Thus, hybrid terminal 110 includes a user interface device (not shown) such as a mouse, keyboard, etc. As shown in Fig. 1, hybrid terminal 110 includes one or more application programs 112 (including TCP/IP software 210), and driver software 114, which communicates with SLIP provider 130 through a serial port 122 and modem 190, using a driver portion 118, and which communicates with satellite receiver 180 through a satellite interface 120, using a driver portion 116.

To TCP/IP software 210, driver 114 appears to be an Ethernet card, although driver 114 is actually connected to satellite receiver 180 (via satellite interface 120) and to SLIP provider

5 130 (via serial line 122 and modem 190). Thus, TCP/IP software  
210 believes that it is communicating with a single physical  
network, when it is, in reality, communicating with two physical  
networks (the SLIP dialup network and a satellite network).  
Ethernet is a packet switching protocol standardized by Xerox  
10 Corporation, Intel Corporation, and Digital Equipment Corporation,  
which is described in "The Ethernet: A Local Area Network Data  
Link Layer and Physical Layer Specification," September 1980,  
which is available from any of these three companies, and which is  
incorporated by reference.

15 Fig. 6 is a diagram of steps performed by driver 114 of  
hybrid terminal 110 of Fig. 1. As shown in Fig. 6, driver 114  
receives packets of data from TCP/IP software 210 and passes them  
to SLIP provider 130 via serial port 122 and modem 190. A packet  
sent by application server 140 is received through satellite  
20 receiver 180, passed through the satellite interface 120, passed  
to the satellite driver 220, and passed to driver 114, which  
passes the received packet to TCP/IP software 210.

25 The following paragraphs discuss two basic functions  
performed by driver 114 (tunnelling and ARP handling) and discuss  
various implementation details for the described embodiment.

A. "Tunnelling"

As discussed above, hybrid terminal 110 has two IP addresses  
associated with it: one for SLIP provider 130 and one for the  
satellite interface. Packets containing requests are sent from  
hybrid terminal 110 to application server 140 via the Internet  
128, while packets containing a reply are sent back via the

5 satellite link. Tunnelling is the method by which application server 140 is "fooled" into sending a reply to a different IP address (satellite interface 120) than that of the sender's (serial port 122).

10 A packet received by driver 114 from the TCP/IP software 210 has a source address of satellite gateway 160 and a destination address of application server 140. As shown in step 610 of Fig. 6, driver 114 removes the Ethernet header and checksum and encapsulates the IP header into an IP tunnelling header having a source address of SLIP provider 130 and a destination address of hybrid gateway 150 (see Fig. 7). As described above, at hybrid gateway 150, the tunnelling header is removed and the packet is sent back into the Internet 128 to be sent to application server 140.

20 When forming a tunnelling header, driver 114 copies all the values from the old header into the new one with the following exceptions. The source and destination addresses of the tunnelling header change, as described above. In addition, a total packet length field 510 is changed to contain the contents of length field 310 plus the length of the tunnelling header. Lastly, the driver 114 recalculates checksum 520 of the tunnelling header because some of the fields have changed.

#### B. ARP Handling

ARP (Address Resolution Protocol) is used by TCP/IP to dynamically bind a physical address, such as an Ethernet address, to an IP address. When TCP/IP finds an IP address for which it does not know a physical address, TCP/IP broadcasts an ARP packet.

5 to all nodes, expecting a response that tells TCP/IP what physical address corresponds to the IP address.

During initialization, driver 114 declares to TCP/IP software 210 that driver 114 is an Ethernet card to ensure that the packets that TCP/IP package sends are Ethernet packets and that the TCP/IP package will be prepared to receive packets at a high-rate of speed. As shown in step 620 of Fig. 6, when driver 114 detects that TCP/IP has sent an ARP packet, driver 114 creates a physical address and sends a reply packet to TCP/IP software 210. The contents of the physical address are irrelevant, because driver 114 strips off the Ethernet header on packets from TCP/IP before the packets are sent to SLIP provider 130.

#### C. Other Functions

As shown in step 630 of Fig. 6, packets received by driver 114 from satellite receiver 180 (via satellite driver 114) are merely passed to TCP/IP software 210. The following paragraphs discuss implementation details for the described embodiment.

" In a preferred embodiment, TCP/IP software 210 (e.g., Frontier's SuperTCP) sends an ACK (acknowledge) for every packet it receives, even though this action is not required by the TCP/IP protocol. In this situation, many packets compete for the slow link to SLIP provider 130. In TCP/IP, the ACK scheme is cumulative. This means that when a transmitter receives an ACK stating that the receiver has received a packet with sequence number N, then the receiver has received all packets with sequence numbers up to N as well, and there is no reason why every packet needs to be ACK'ed.

5 Fig. 8 is a flowchart of steps performed in a preferred  
embodiment by driver 114 of hybrid terminal 110. Fig. 11 is a  
diagram showing a TCP packet format. Fig. 11 includes a sequence  
number field 1102, an acknowledgment (ACK) number field 1104, and  
a checksum field 1106. In step 810 of Fig. 8, driver 114 receives  
10 an ACK packet with sequence number N from TCP/IP software 210.  
The packet is queued along with other packets waiting to be sent  
to SLIP provider 130. In step 820 driver 114 checks to determine  
whether there is a "run" of sequential packets waiting to be sent.  
If so, in step 830, driver 114 deletes ACK packets for the same  
15 TCP connection that have sequence numbers in the run from the  
queue and sends an ACK only for the highest sequence number in the  
run. This action alleviates the bottleneck caused by the  
relatively slow modem speeds.

Serial port 122 provides a physical connection to modem 190  
20 and, through it, to the terrestrial network via a SLIP protocol as  
described below in connection with SLIP provider 130. Serial data  
is sent and received through an RS-232 port connector by a UART  
(Universal Asynchronous Receiver Transmitter), such as a U8250,  
which has a one byte buffer and is manufactured by National  
25 Semiconductor, or a U16550, which has a 16 byte buffer and is also  
manufactured by National Semiconductor.

The invention preferably operates under the DOS operating  
system and Windows, but also can operate under other operating  
systems.

Satellite driver software 220 receives packets from satellite  
180, and passes them to driver 114 using a DOS call. Thus, the

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5 two physical links are combined within driver 114 and the  
existence of two physical links is transparent to TCP/IP software  
210. Satellite driver 220 scans all packets transmitted over the  
satellite channel for a packet with a header corresponding to the  
IP address of the satellite interface 122, performs some error  
10 detection and correction on the packet, buffers the received  
packet, and passes the packet to driver 114 using a DOS call,  
e.g., IOCTL-output-cmd(). Driver 114 copies data from satellite  
driver 220 as quickly as possible and passes it to TCP/IP software  
210.

15 As discussed above, TCP/IP software 210 is fooled into  
thinking that it is connected to an Ethernet network that can send  
and receive at 10 Mbps. This concept is helpful on the receive  
side because data from the satellite is being received at a high  
rate. On the transmit side, however, modem 190 is not capable of  
20 sending at such a high rate. In addition, TCP/IP software 210  
sends Ethernet packets to driver 114, i.e., an IP packet is  
encapsulated into an Ethernet packet. Because SLIP provider 130  
expects IP packets, driver 114 must strip the Ethernet header  
before the packet is sent to SLIP provider 130.

25 As described above in connection with Fig. 8, Driver 114 also  
includes a transmit and receive queue. As data is received from  
TCP/IP software 210 and received from the satellite driver 220, it  
is buffered within the queue. When the queue is full, e.g., when  
TCP/IP is sending packets faster than modem 190 can send them,  
driver 114 drops the packets and returns an error so that TCP/IP  
software 210 will decrease its rate of transmission.

5 In a first preferred embodiment, a SLIP connection is initiated with an automatic logon procedure. In another preferred embodiment, driver 114 executes instructions to allow a user to perform a SLIP logon manually.

10 Because TCP/IP software 210 preferably is configured to talk to Ethernet and it is desirable to receive the largest packet size possible, driver 114 configures TCP/IP so that the MTU (Maximum Transmission Unit) of the network is as large as possible, e.g., 1500 bytes. Some SLIP providers 130 have a smaller MTU, e.g., 512 bytes. To handle the disparity in size, driver 114 segments large packets received from TCP/IP software 210 into segments the size of the SLIP MTU. Once a packet is segmented, it is reassembled in hybrid gateway 150. Only the tunnelling header is copied as the header of the segments.

## 20 2. The SLIP Provider

SLIP provider 130 performs the function of connecting hybrid terminal 110 to the Internet 128. As described above, other protocols, such as PPP, could also be used to perform the connecting function. SLIP server 130 receives SLIP encoded IP packets from modem 190, uncodes them, and forwards them to hybrid gateway 150 via the Internet 128.

25 In its most basic form, SLIP provider 130 delimits IP packets by inserting a control character hex 0xC0 between them. To insure that a data byte is not mistaken for the control character, all outgoing data is scanned for instances of the control character, which is replaced by a two character string. The SLIP protocol is described in detail in J. Romkey, "A Nonstandard for Transmission

5 of IP Datagrams over Serial Lines: SLIP," RFC 1055, June 1988, pp.  
1-6, which is incorporated by reference.

### 3. The Application Server

10 Application server 140 is a computer system running any  
combination of known application programs available on the  
Internet using the TCP/IP protocol suite. For example,  
application server 140 may be transferring files to requesting  
users via FTP. Although hybrid terminal 110 actually has two IP  
addresses (a serial port address and an address for the satellite  
interface), the software executing on application server 140  
15 thinks that it is receiving requests over the satellite network  
and sending responses over the satellite network. Hybrid terminal  
110 is completely transparent to application server 140.

### 4. The Hybrid Gateway

20 Although only one hybrid terminal 110 is shown in Fig. 1, the  
invention can include a plurality of hybrid terminals 110.  
Preferably, all packets sent from all hybrid terminals 110 pass  
through hybrid gateway 150 to get untunnelled. Thus, hybrid  
gateway 150 is a potential system bottleneck. Because of this  
potential bottleneck, the functions of hybrid gateway 150 are as  
25 simple as possible and are performed as quickly as possible.  
Hybrid gateway 150 also has good Internet connectivity to minimize  
the accumulated delay caused by packets waiting to be processed by  
hybrid gateway 150.

#### A. Untunnelling

Fig. 9 is a diagram of steps performed by hybrid gateway 150  
of Fig. 1. In step 910, hybrid gateway 150 receives a tunnelled

5 packet having a format shown in Fig. 5. Hybrid gateway 150  
"untunnels" the packet by stripping off the tunnelling header and  
passes the packet back to the Internet.

10 As described above, packets are sometimes broken into  
segments when they are sent in order to accommodate a small MTU of  
SLIP provider 130. Packets may also be segmented as they pass  
through other elements of the net having small MTUs. For  
fragmented packets, only the tunnelled header is copied into the  
header of each segment. Hybrid gateway 150 stores fragmented  
packets in a memory (not shown) and reassembles them in order  
15 before untunnelling the original packet and passing it to the  
Internet. Preferably, a "time to live" value is assigned to each  
packet when it is sent by driver 114 and if all segments do not  
arrive before a time to live timer expires, the packet is  
discarded.

#### 20 B. ARP Responding

Preferably, satellite gateway 160 is on a same physical  
network as hybrid gateway 150. As shown in step 920 of Fig. 3,  
when a router on the same physical network as satellite gateway  
160 and hybrid gateway 150 sends out an ARP for the IP address of  
25 satellite gateway 160 (to find a physical address of satellite  
gateway 160), hybrid gateway 150 responds and says "send it to  
me." Hybrid gateway 150 needs to intercept packets intended for  
satellite gateway 160 because it needs to encapsulate packets for  
satellite gateway 160 as follows.

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## C. Satellite Packetizing

The following paragraphs describe how packets travel from application server 140 through hybrid gateway 150 and to satellite gateway 160. The following explanation is given by way of example and is not intended to limit the scope of the present invention.

10 As shown in step 930 of Fig. 9, hybrid gateway 150 encapsulates replies from application server 140 into a satellite packet format. Fig. 10 is a diagram showing a format of a satellite packet sent to satellite gateway 160 of Fig. 1. A satellite packet includes the data 1010 of an original IP packet and two headers added by hybrid gateway 150.

15 Satellite gateway 160 expects IP packets to be encapsulated first in a special satellite packet and then within an LLC-1 IEEE 802.2 link level control, type 1 packet. Satellite header 1020 identifies the downlink and contains a sequence number and the packet length. An LLC-1 header 1030 preferably is used to send the packet to satellite gateway 160, in an Ethernet LAN. Hybrid gateway 150 prepares packets for satellite gateway 160 by appending headers 1020 and 1030 to the front of an IP packet 1010.

25 The receiver in hybrid terminal 110 does not receive the LLC-1 header 1030. Hybrid terminal 110 identifies packets intended for it by checking a least significant byte in the satellite IP address. Thus, a six byte satellite destination address is determined by reversing an order of bytes of the satellite IP address for hybrid terminal 110 and then padding the rest of the address with zeroes.

## 5. The Satellite Gateway

Satellite gateway 160 can include any combination of hardware and software that connects satellite transmitter 170 to hybrid gateway 150. Satellite transmitter 170 and satellite receiver 180 can be any combination of hardware and software that allows data to be transmitted by satellite transmitter 170 and received by satellite receiver 180, and to be input to hybrid terminal 110. For example, satellite gateway 160 preferably is a personal computer with a high-speed Ethernet connection to hybrid terminal 110. When satellite gateway 160 receives a packet from hybrid gateway 150, it sends it over the satellite link.

Satellite communication may be effected by, for example, the Personal Earth station manufactured by Hughes Network Systems Corp. In a preferred embodiment, a one-way version of the Personal Earth Station is used. Another embodiment uses a satellite communication system manufactured by Comstream. Yet another embodiment uses a system that allows hybrid terminal 110 to be connected directly to satellite receiver 180 via Hughes Network Systems' DirectPC product. The DirectPC satellite interface card is described in "DirectPC, Phase A Data Sheet," dated June 7, 1993, which is attached as Appendix A, which is incorporated in and constitutes a part of this specification, and which is incorporated by reference.

At the downlink, satellite receiver 180 includes a 0.6 meter receive-only antenna receiving HDLC encapsulated LAN packets. Satellite interface 120 includes rate 2/3 Viterbi/Reed-Soloman concatenated forward error correction.

5           Although only one hybrid terminal 110 and one application  
server 140 are shown in Fig. 1, the invention can include a  
plurality of hybrid terminals 110 and/or a plurality of  
application servers 140. Preferably, all packets sent from all  
application servers 140 to a hybrid interface 110 pass through  
10   satellite gateway 160. Thus, satellite gateway 160 is a potential  
system bottleneck. Because of this potential bottleneck, the  
functions of satellite gateway 160 are as simple as possible and  
are performed as quickly as possible.

15           c. Protocol Spoofing

15           TCP/IP protocol specifies that only a predetermined number of  
packets can be outstanding during transmission, i.e., that only a  
limited number of packets can be sent before an ACK  
(acknowledgment) is received. The high bandwidth and long delays  
incurred in sending packets to an orbiting satellite and back  
20   means that at any given time, a lot of packets are "in the pipe"  
between transmitter and receiver.

25           When using conventional TCP/IP protocol, application server  
140 sends a predetermined number of packets in accordance with a  
predetermined window size, and then waits to receive ACKs over the  
modem link before sending additional packets. The purpose of  
windowing is to limit a number of packets that must be re-sent if  
no ACK is received and to provide flow control, e.g., to prevent  
sending packets faster than they can be received. The packets  
that have not been ACK'ed are stored in a memory so that they can  
be re-sent if no ACK is received.

5 In a preferred embodiment of the present invention, hybrid gateway 150 "spoofs" application server 140 to improve the throughput over the satellite link. Specifically, hybrid gateway 150 sends an ACK to application server 140, even though a corresponding packet may not have been received by hybrid terminal 110 via the satellite at the time.

10 Fig. 12 is a ladder diagram showing packets sent from application server 140 to hybrid gateway 150 and from hybrid gateway to hybrid terminal 110 through the satellite link. Fig. 12 is not drawn to scale. In Fig. 12, application server 140 sends a message #1 to hybrid gateway 150. The propagation time for this transmission is relatively short. Hybrid gateway 150 immediately creates an ACK packet and sends it to application server 140. Hybrid gateway also sends packet #1 to hybrid terminal 110 through the satellite link. This transmission has a long propagation delay. When hybrid terminal 110 receives the packet, it sends an ACK #1 back to hybrid gateway 150 (e.g., using the tunnelling mechanism described above). In a system that does not use tunnelling, hybrid gateway 150 needs to intercept the ACK packets from hybrid terminal 110.

20 Figs. 13(a) through 13(e) are flowcharts of steps performed by hybrid gateway 150 of Fig. 1 during protocol spoofing. In step 1302 of Fig. 13(a), hybrid gateway 150 receives a packet from application server 140 indicating that a new connection is being formed between application server 140 and hybrid terminal 110. In step 1304, hybrid gateway 150 sets up a queue or similar data



5 structure in memory to save un-ACK'ed packets for the new connection. Fig. 13(b) show corresponding steps performed by hybrid gateway 150 when the connection is closed. Hybrid gateway 150 receives a packet indicating the closure in step 1306 and deletes the queue and saved values for the connection in step 1308.

10 In step 1310 of Fig. 13(c), hybrid gateway 150 fails to receive an ACK for a packet number X from hybrid terminal 110 before an end of a predetermined timeout period. Hybrid gateway 150 maintains a timer for each un-ACK'ed packet. At the end of the predetermined period, hybrid gateway 150 retransmits a packet corresponding to the expired timer. In step 1312, hybrid gateway 150 re-sends packet number X, which it previously saved in the memory queue for this connection (see Fig. 13(d) below).

15 In step 1314 of Fig. 13(d), hybrid gateway 150 receives a packet from application server 140. In step 1316, hybrid gateway 150 sends the received packet to satellite gateway 160, where it is transmitted over the satellite link, and saves the packet in case it needs to be retransmitted (see Fig. 13(c)). Hybrid gateway 150 then creates an ACK packet to send to application server 140 in step 1318. The created ACK packet incorporates a format shown in Fig. 11. Hybrid gateway 150 creates an ACK number for field 1104. The ACK number is determined as follows:

20 Hybrid gateway 150 saves the following information for each connection:

25 1) Send sequence number - a highest in-sequence sequence number of packets sent by application server 140 over the connection.

5

2) ACK sequence number - the ACK sequence number from the most recent packet sent by hybrid terminal 110 over this connection.

3) ACK window size - the window size from the most recent packet from hybrid terminal 110 over this connection.

10

4) ACK number - the ACK sequence number that is relayed to application server 140. The ACK number is set to:

minimum(send sequence number, ACK sequence number +  
spoofed window size - ACK window size).

15

5) spoofed window size - predetermined maximum number window size to be allowed on this connection.

When hybrid gateway 150 inserts the ACK number in the packet, it also calculates the packet's checksum 1106.

20

In step 1320 of Fig. 13(e), hybrid gateway 150 receives an ACK packet over the modem link from hybrid terminal 110. In step 1322, hybrid gateway 150 removes from the queue the packet for which the ACK was received. Because an ACK was received, the packet does not need to be re-sent. In the TCP/IP protocol, a packet containing an ACK may or may not contain data. Hybrid gateway 150 edits the received packet to replace the packet's ACK number 1104 with a "spoofed" ACK number in step 1326. The spoofed ACK number is determined in the same way as the ACK number in step 1318 of Fig. 13(d). When hybrid gateway 150 substitutes the spoofed ACK number 1104 in the packet, it also recalculates the packet's checksum 1106 in step 1326.

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5 In step 1328, hybrid gateway 150 forwards the received ACK  
packet to application server 140. Application server 140 may  
simply disregard the packet if it contains an ACK and no data. In  
another embodiment, hybrid gateway 150 simply discards a packet  
received from hybrid terminal 110 that contains an ACK, but no  
10 data.

If the connection goes down, either explicitly or after a  
predetermined period of time, hybrid gateway 150 deletes the saved  
packets for the connection.

d. Summary

15 In summary, the present invention allows a personal computer  
to send messages into the Internet using a conventional dial-up  
link and to download data from the Internet using a high-speed  
one-way satellite link. In a preferred embodiment, the invention  
uses a conventional SLIP provider to connect to the Internet and  
20 uses a commercial software TCP/IP package that has a standard  
driver interface. A spoofing protocol compensates for the long  
propagation delays inherent to satellite communication.

Other embodiments will be apparent to those skilled in the  
art from consideration of the specification and practice of the  
25 invention disclosed herein. It is intended that the specification  
and examples be considered as exemplary only, with a true scope of  
the invention being indicated by the following claims.

5 WHAT IS CLAIMED IS:

1. A network system that forms a part of a network, comprising:

a source computer, having a link to the network;

a destination computer, having a link to the network;

10 a satellite interface between the source computer and the destination computer, wherein information passes from the source computer to the destination computer;

means in the destination computer for requesting information from the source computer over the network;

15 means for receiving an information packet sent from the source computer in response to the request and for sending the information packet to the destination computer over the satellite interface; and

20 means for sending an ACK message to the source computer in response to receipt of the information packet, wherein the ACK message appears to the source computer to have come from the destination computer.

25 2. The network of claim 1, further comprising means for receiving a packet containing an ACK message from the destination computer, for indicating receipt of the information packet by the destination computer, and for discarding the ACK message received from the destination computer when no other data is present in the received packet.

3. The network of claim 1, further comprising means for receiving a packet containing an ACK message from the destination computer, for indicating receipt of the information packet by the

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destination computer, and for editing the ACK message and passing the received packet to the source computer.

4. The network of claim 3, wherein the editing means includes means for editing an ACK number of the received packet and for adjusting a checksum of the received packet in accordance with the edited value.

5. The network of claim 1, wherein the information packet is formatted in accordance with TCP/IP protocol.

6. The network of claim 1, wherein the ACK message is formatted in accordance with TCP/IP protocol.

7. The network of claim 1, wherein the satellite interface is a one-way interface.

5 8. A gateway in a network system that forms a part of a TCP/  
IP network, wherein the network includes a source computer having  
a link to the TCP/IP network and a link to a high speed satellite  
interface, and a destination computer having a link to the TCP/IP  
network and a link to the high speed satellite interface, the  
10 gateway comprising:

means for receiving an information packet sent from the  
source computer and for sending the information packet to the des-  
tination computer over the satellite interface; and

15 means for sending an ACK message to the source computer  
in response to receipt of the information packet, wherein the ACK  
message appears to the source computer to have come from the des-  
tination computer.

20 9. The gateway of claim 8, further comprising means for re-  
ceiving a packet containing an ACK message from the destination  
computer, for indicating receipt of the information packet by the  
destination computer, and for discarding the ACK message from the  
destination computer when no other data is present in the received  
packet.

25 10. The gateway of claim 8, further comprising means for re-  
ceiving a packet containing an ACK message from the destination  
computer, for indicating receipt of the information packet by the  
destination computer, and for editing the ACK message and passing  
the received packet to the source computer.

11. The gateway of claim 10, wherein the editing means in-  
cludes means for editing an ACK number of the received packet and

5 for adjusting a checksum of the received packet in accordance with  
the edited value.

12. The gateway of claim 8, wherein the information packet  
is formatted in accordance with TCP/IP protocol.

10 13. The gateway of claim 8, wherein the ACK message is for-  
matted in accordance with TCP/IP protocol.

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5 14. A method for sending information over a high speed  
satellite interface in a network system that forms a part of a  
TCP/IP network, wherein the network includes gateway and a source  
computer, having a link to the TCP/IP network, a destination  
computer, having a link to the TCP/IP network, and a satellite  
10 interface between the source computer, the gateway, and the  
destination computer, wherein information passes from the source  
computer to the destination computer, the method comprising the  
steps, performed by a processor of the gateway, of:

15 receiving an information packet sent from the source  
computer;

20 sending the information packet to the destination com-  
puter over the satellite interface; and

25 sending an ACK message to the source computer in re-  
sponse to receipt of the information packet, wherein the ACK mes-  
sage appears to the source computer to have come from the destina-  
tion computer.

15. The method of claim 14, further comprising the steps of  
receiving a packet containing an ACK message from the destination  
computer, wherein the ACK message indicates receipt of the infor-  
mation packet by the destination computer and discarding the ACK  
message from the destination computer when no other data is  
present in the received packet.

16. The method of claim 14, further comprising the steps of  
receiving a packet containing an ACK message from the destination  
computer, wherein the ACK message indicates receipt of the  
information packet by the destination computer, and editing the

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1-202-406-4000



5 ACK message and passing the received packet to the source  
computer.

10 17. The method of claim 16, wherein the editing step  
includes the substeps of editing an ACK number of the received  
packet and adjusting a checksum of the received packet in  
accordance with the edited value.

18. The method of claim 14, wherein the information packet  
is formatted in accordance with TCP/IP protocol.

15 19. The method of claim 14, wherein the ACK message is  
formatted in accordance with TCP/IP protocol.

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## Abstract of the Disclosure

A system in which a personal computer sends messages into a TCP/IP network using a conventional dial-up link and downloads data from the TCP/IP network using a high-speed one-way satellite link. A preferred embodiment uses a conventional SLIP provider to connect to the TCP/IP network and uses a commercial software TCP/IP package that has a standard driver interface. A spoofing protocol compensates for the long propagation delays inherent to satellite communication.

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PATENT  
PD-N94026G  
Customer No.: 020991

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
DOUGLAS M. DILLON ) Examiner: D. Pan  
Appln. No.: Unassigned ) Group Art Unit: 2783  
(Divisional of )  
Appln. No. )  
09/204,436 filed )  
December 3, 1998) )  
Filed: Concurrently herewith )  
For: APPARATUS AND METHOD FOR ) April 27, 2000  
ACCESS TO NETWORK VIA )  
SATELLITE (AS AMENDED) )

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, D.C. 20231

LETTER TRANSMITTING CORRECTED FORMAL DRAWINGS

Sir:

Transmitted herewith are ten (10) sheets of formal drawings to be substituted for the corresponding drawing sheets presently on file in the above-referenced application. The ten sheets include eight sheets comprising Figs. 1 through 13(e) and two sheets comprising new Figs. 14 and 15.

Favorable consideration hereof is requested.

CONCLUSION

Applicant's undersigned attorney may be reached at (301) 428-7172. All correspondence should continue to be directed to our below-listed address.

Respectfully submitted,

 04-27-00

John T. Whelan  
Attorney for Applicant  
Registration No. 32,448

HUGHES ELECTRONICS CORPORATION  
Bldg. 001, M/S-A109  
P.O. Box 956  
El Segundo, CA 90245-0956  
(301) 428-7172

[illegible]

FIG. 1.

FIG. 2.

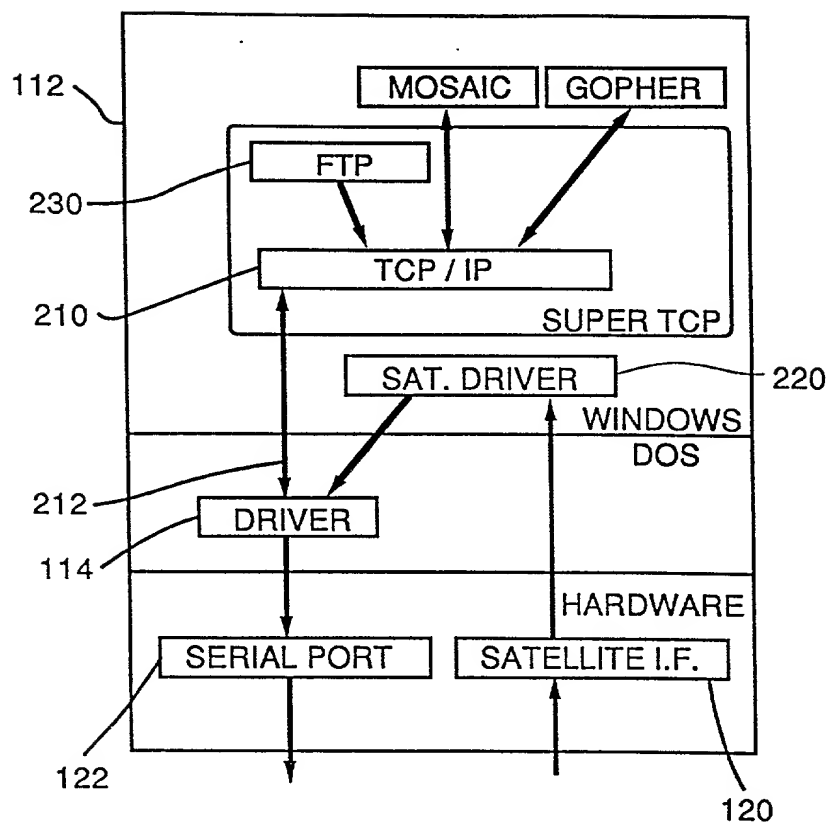


FIG. 3.

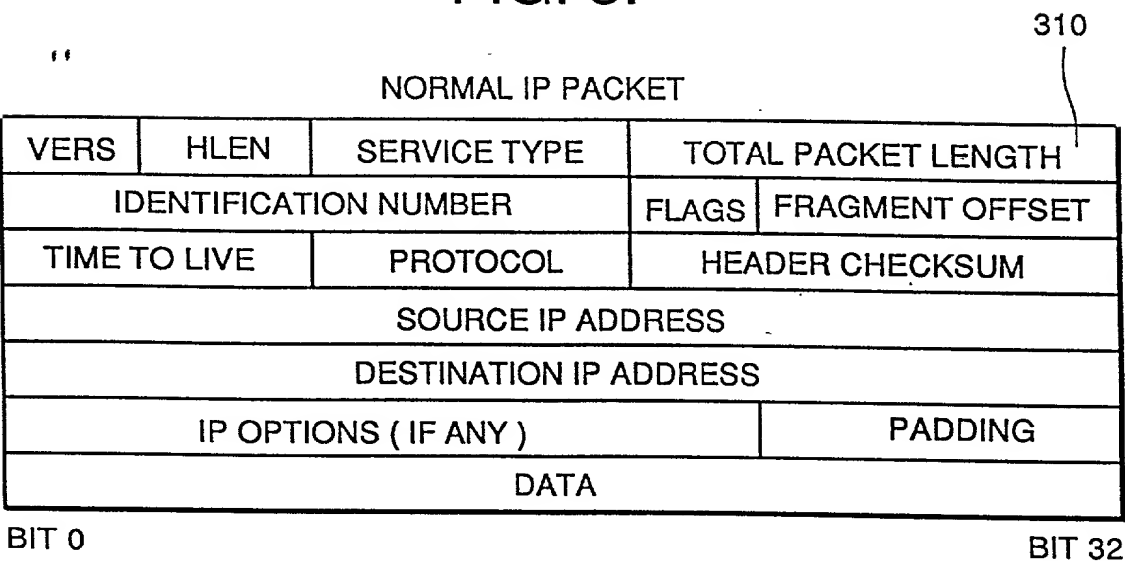


FIG. 4.

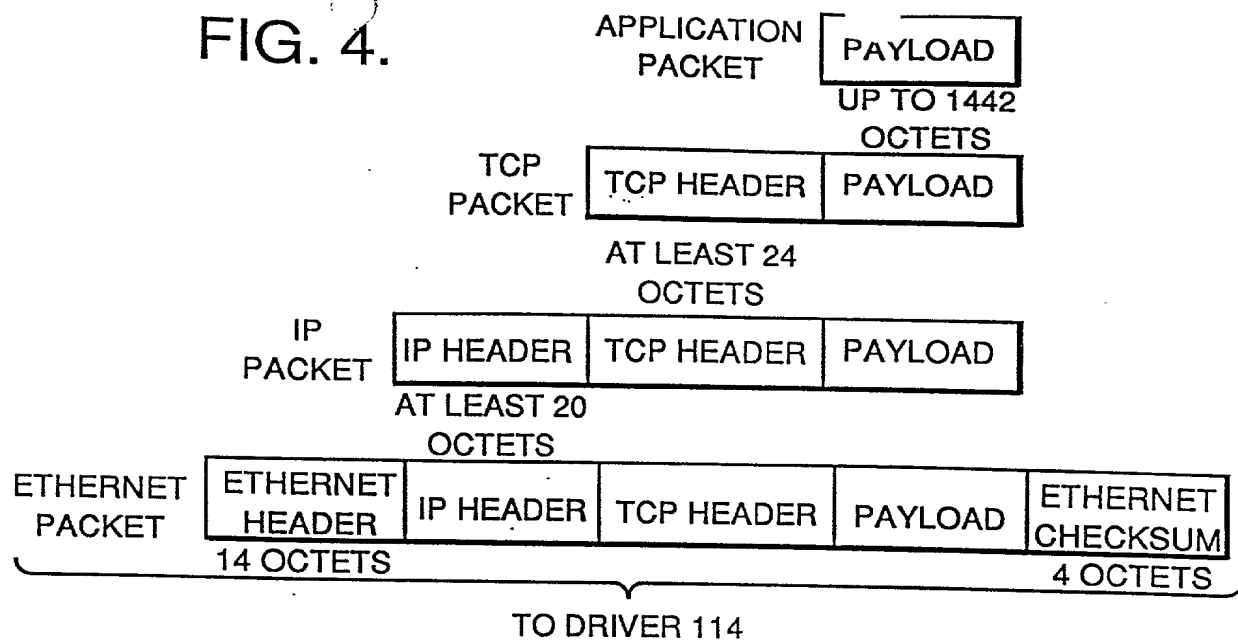
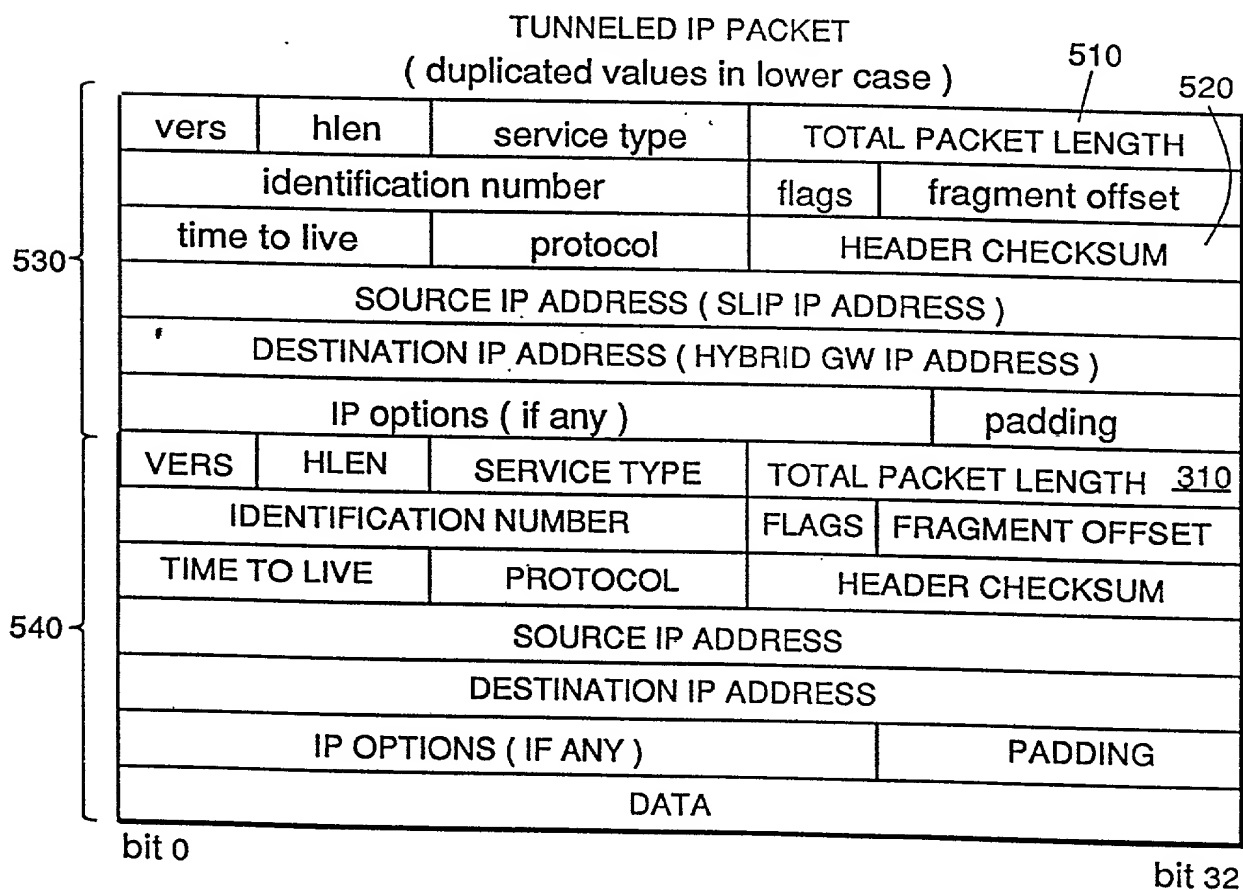


FIG. 5.



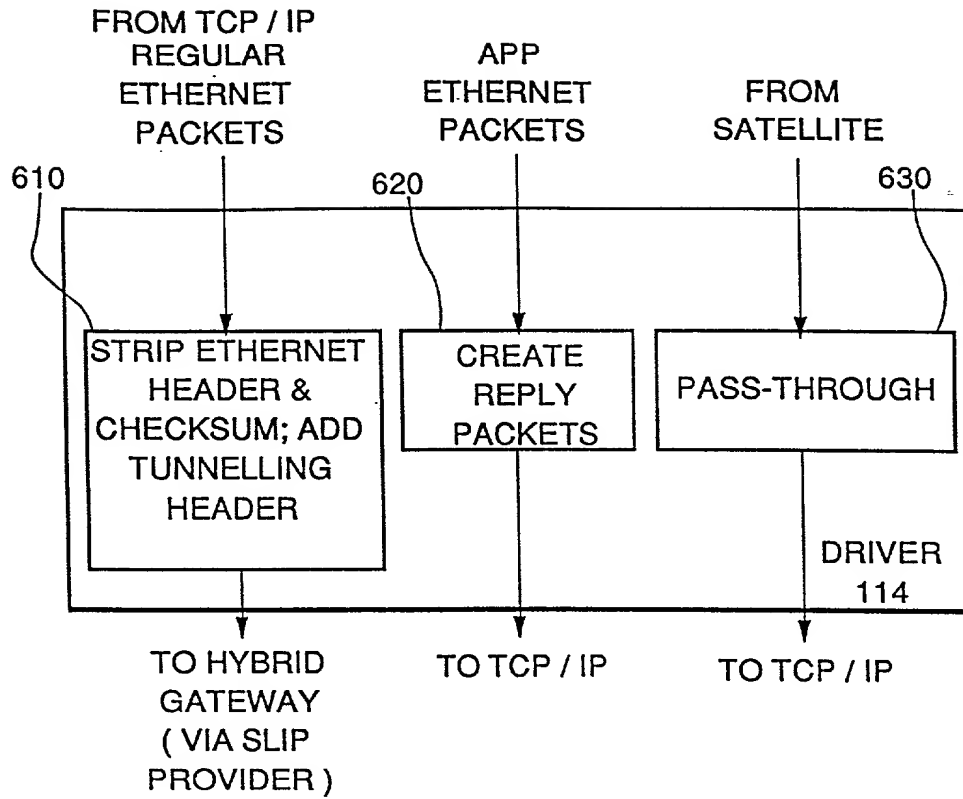


FIG. 6.

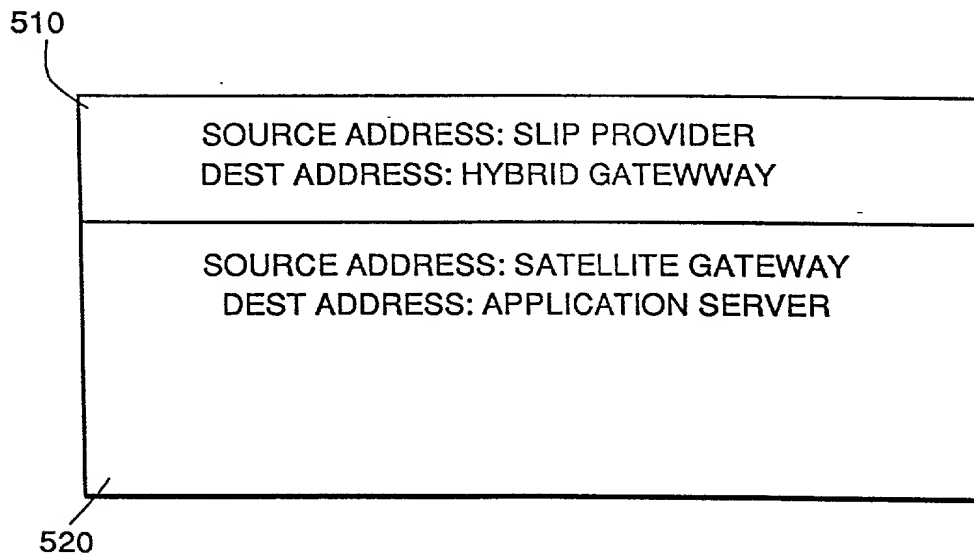


FIG. 7.



DRIVER

FIG. 8.

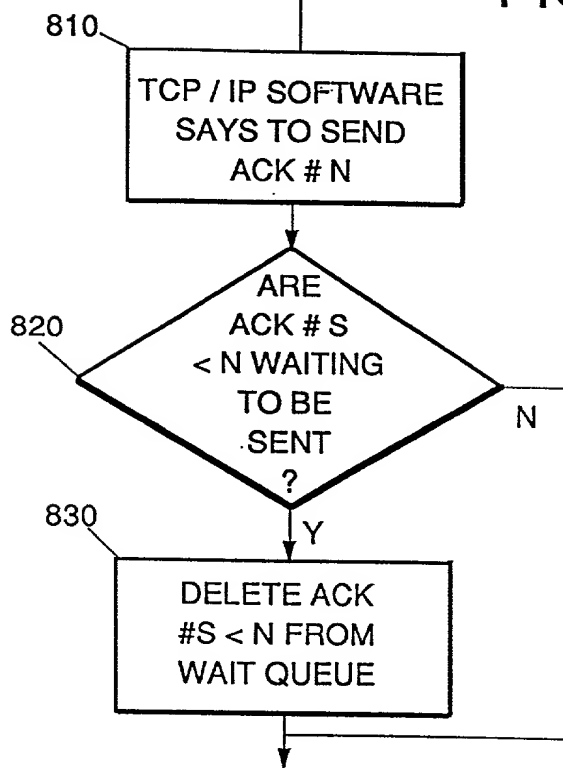


FIG. 9.

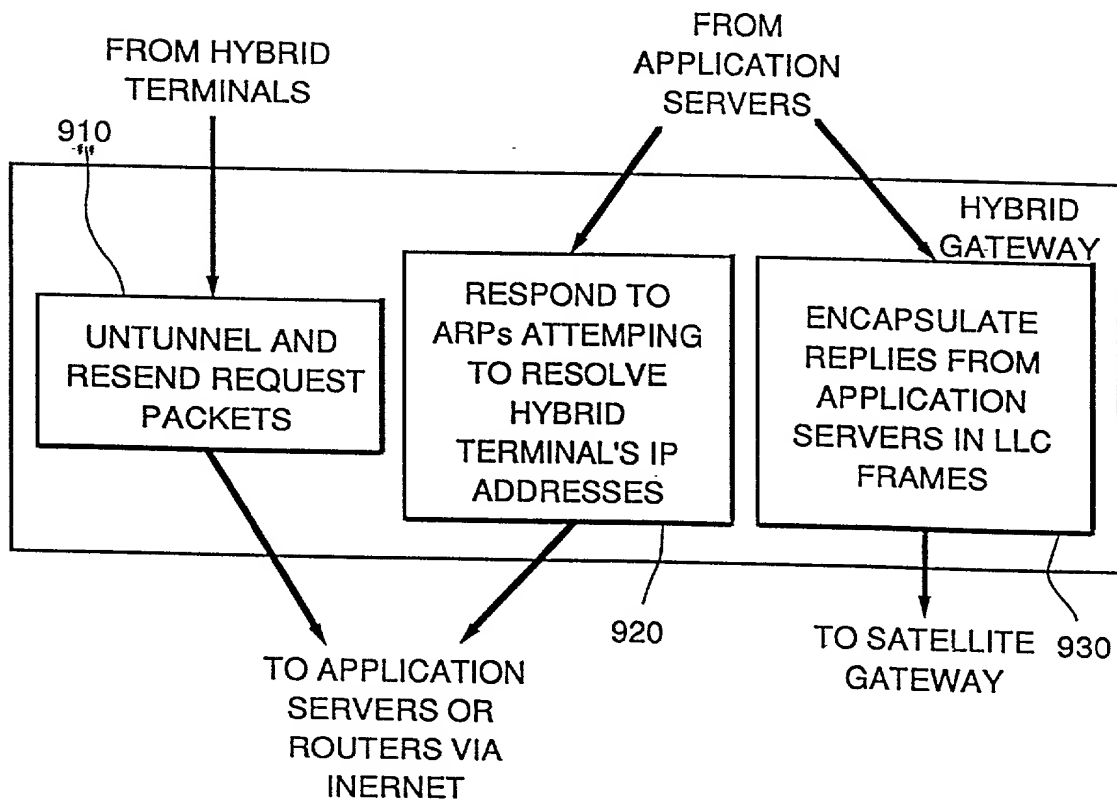


FIG. 10.

1030	1020	1010
LLC HEADER	SATELLITE HEADER	IP DATAGRAM ( PAYLOAD )
DELIVERS PACKET TO SATELLITE GATEWAY STRIPPED OFF IN SATELLITE GATEWAY	USED TO ID CORRECT RECEIVER TERMINAL  STRIPPED OFF IN BIC DRIVER IN USER TERMINAL	DESTINED FOR TCP/IP PACKAGE IN USER TERMINAL

FIG. 11.

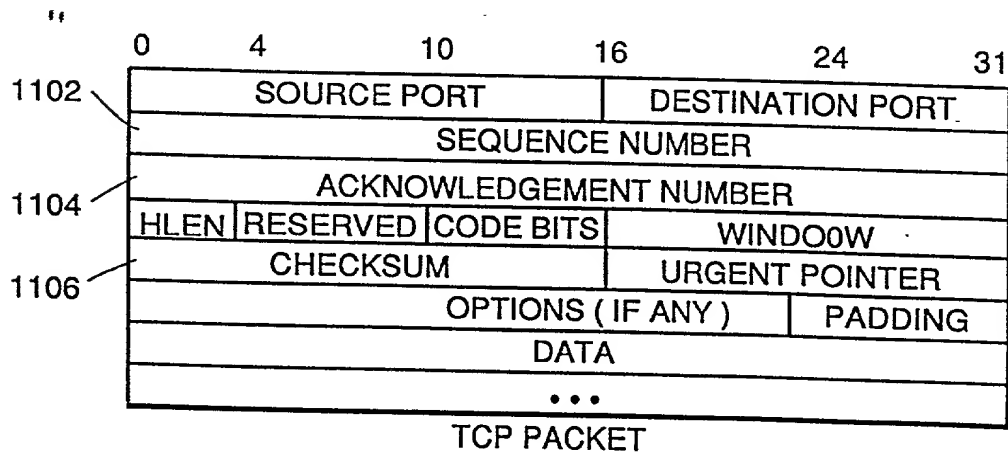


FIG. 12.

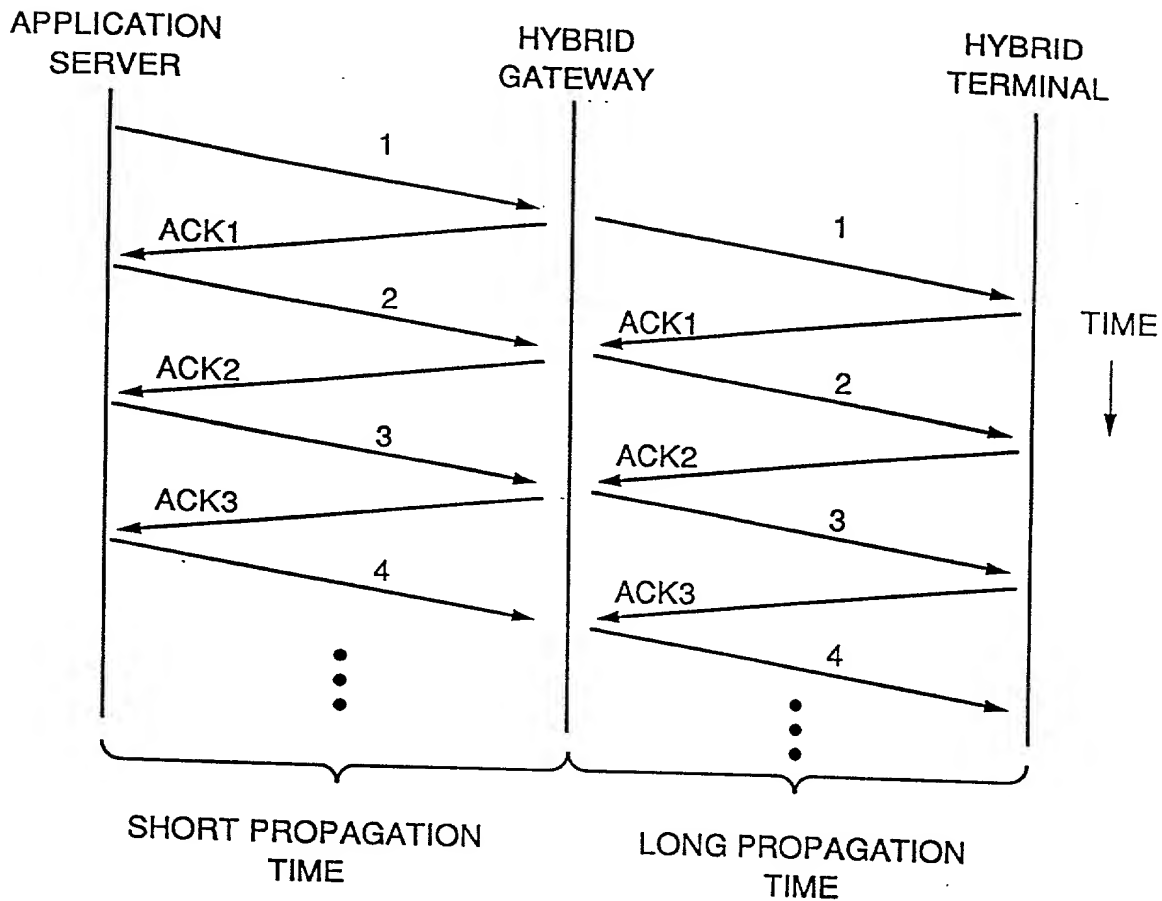


FIG. 13a.

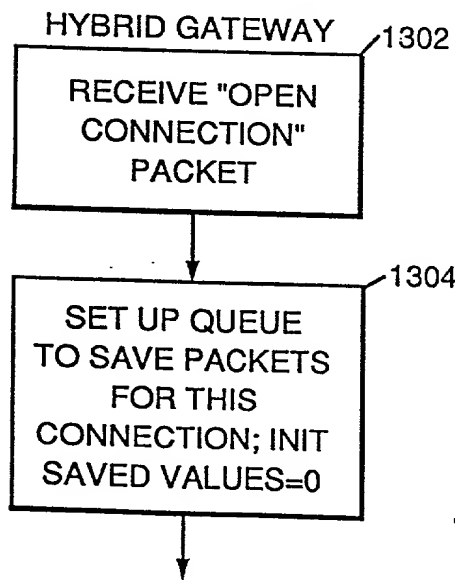


FIG. 13b.

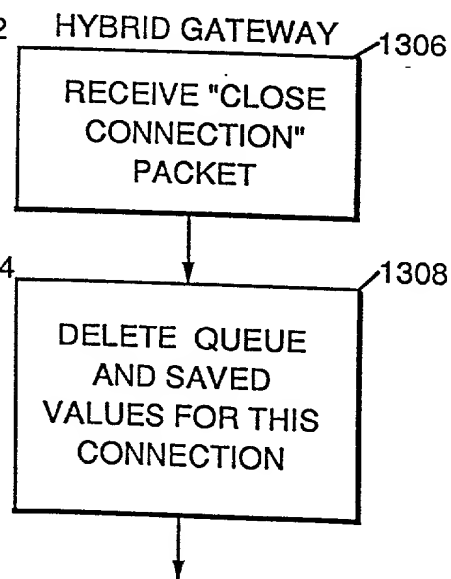


FIG. 13c.

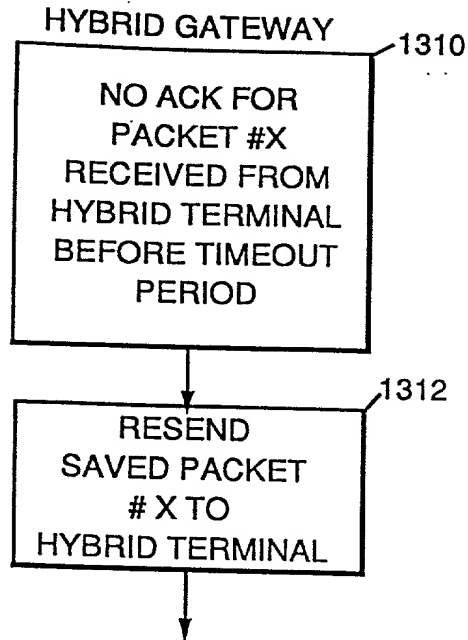


FIG. 13d.

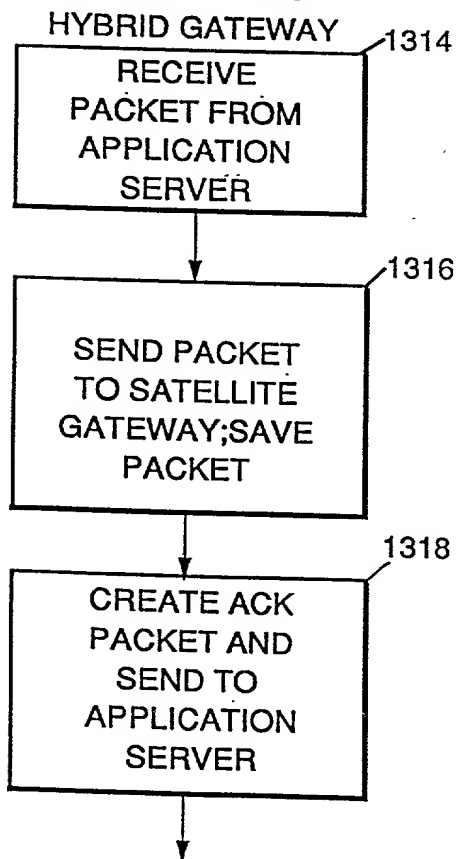
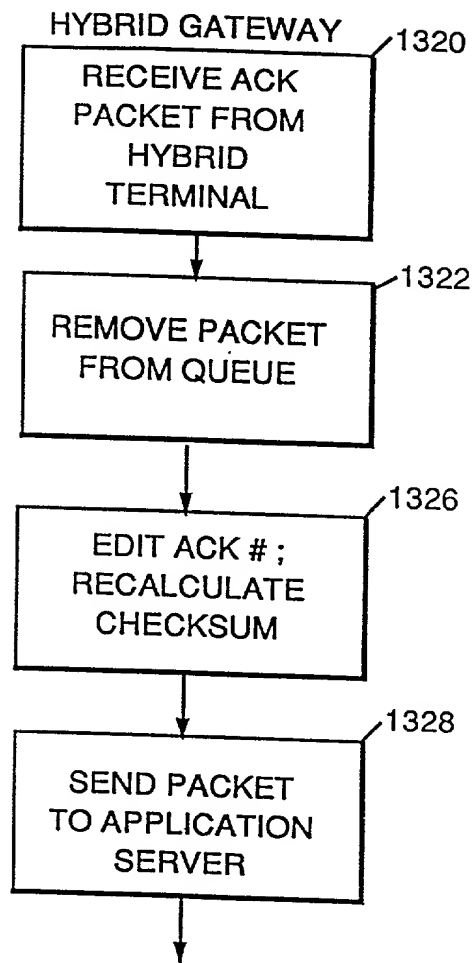


FIG. 13e.



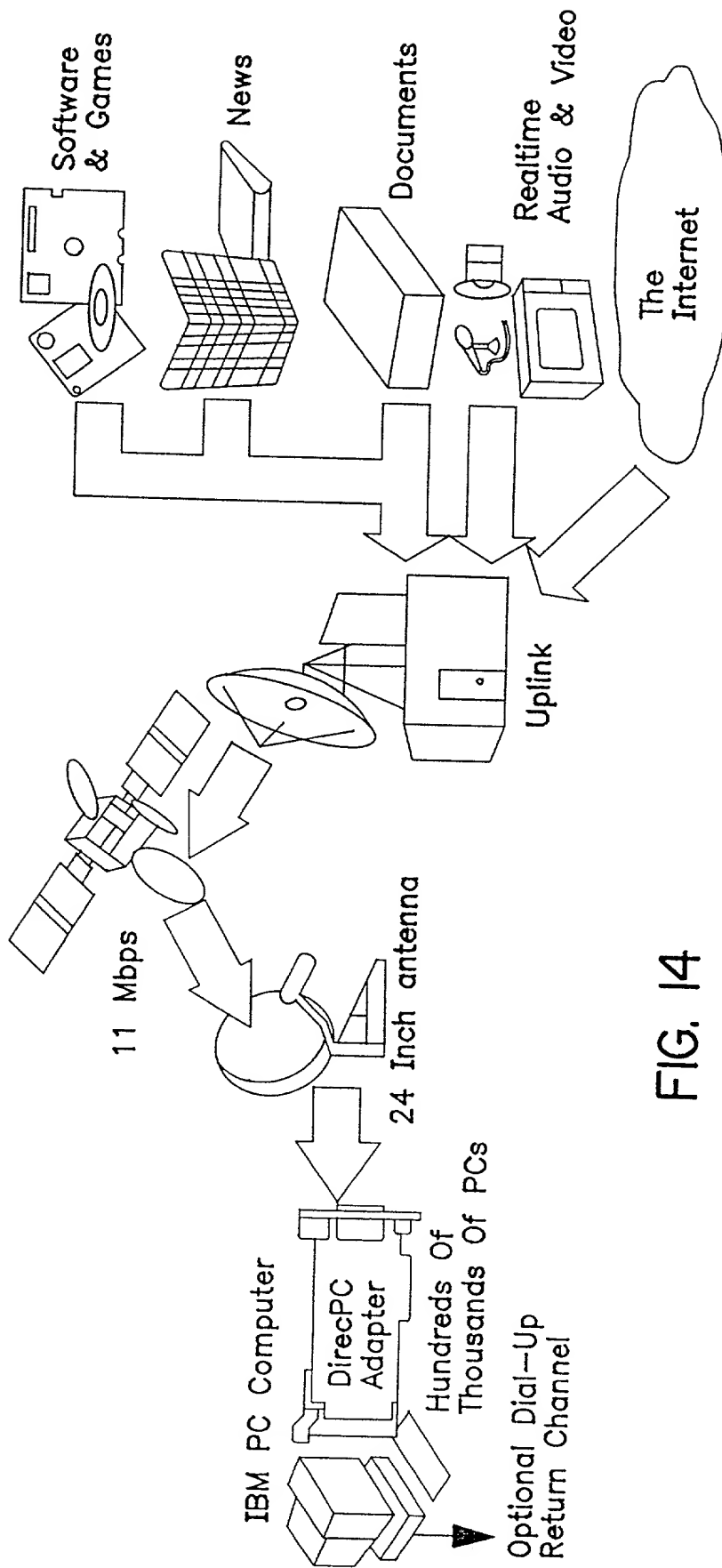


FIG. 14

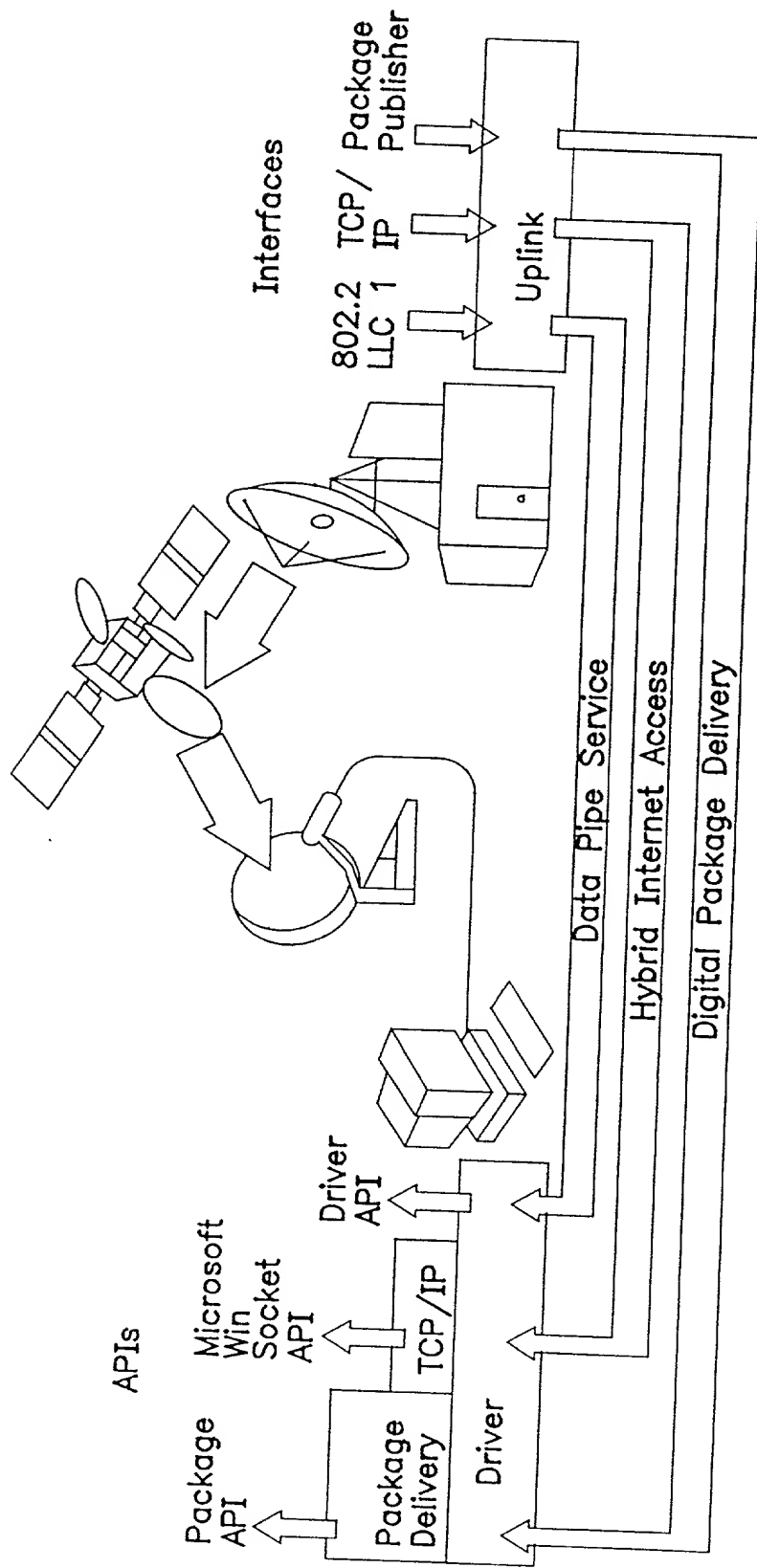


FIG. 15

[illegible]

Fig. 1

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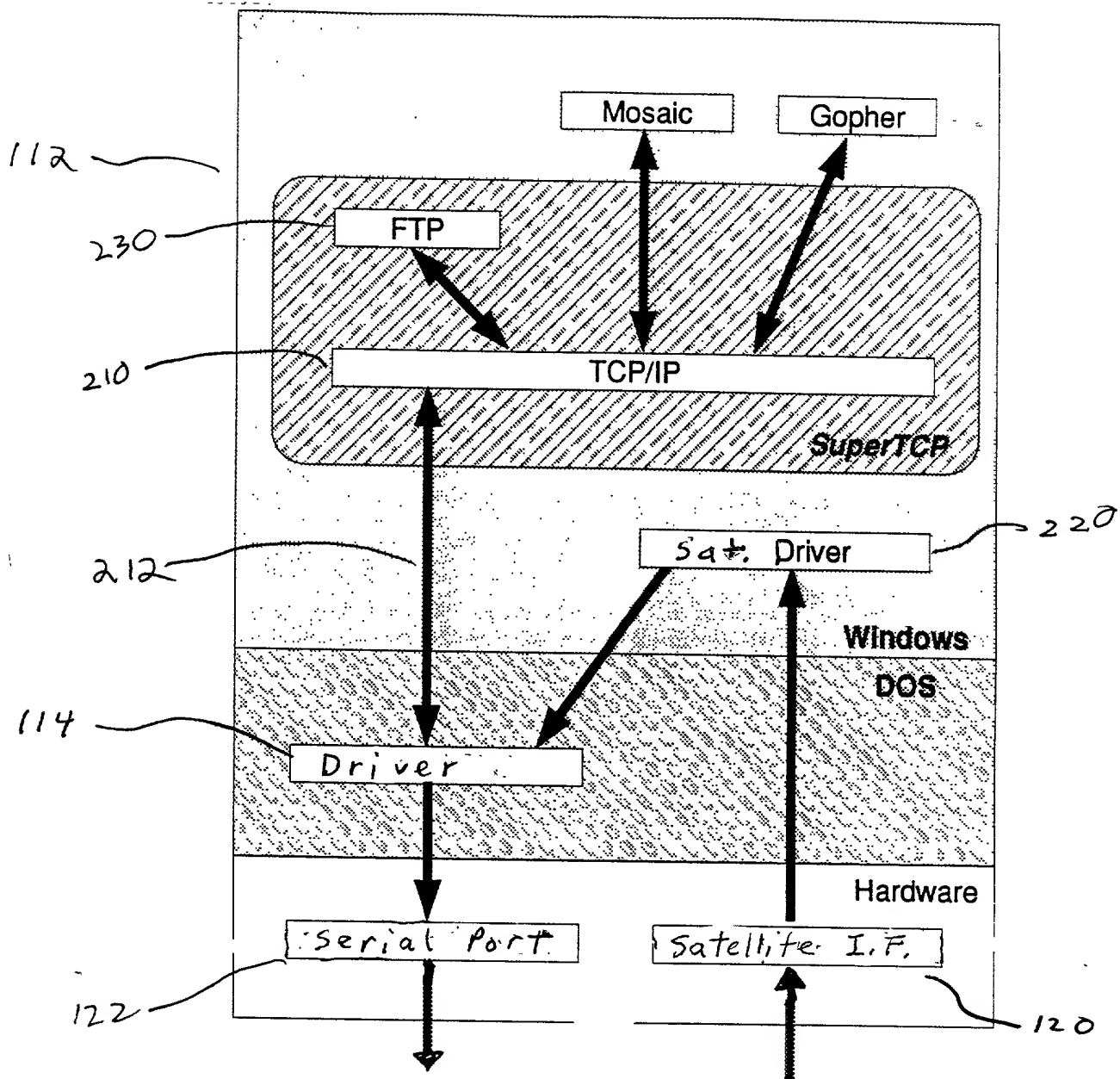


Fig. 2



00000000 00000000 00000000 00000000

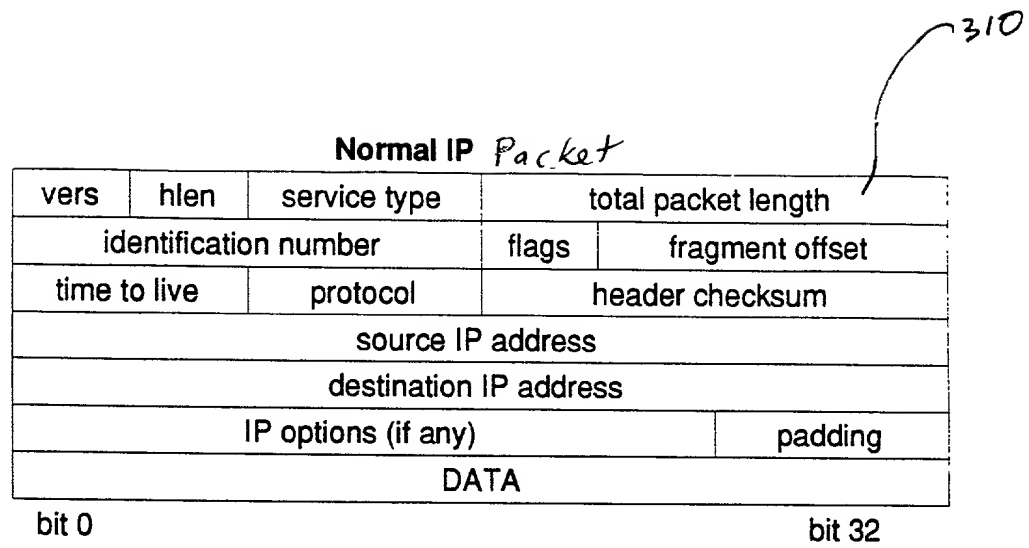


Fig. 3

The diagram illustrates the encapsulation process in four layers, shown as nested boxes:

- Application packet:** Consists of an **Application** header and a **Payload**. The payload size is *up to 1442 octets*.
- TCP packet:** Consists of a **TCP** header and the **Payload** from the application packet. The TCP header size is *at least 24 octets*.
- IP packet:** Consists of an **IP** header, the **TCP header**, and the **Payload**. The IP header size is *at least 20 octets*.
- Ethernet packet:** Consists of an **Ethernet** header, the **IP header**, the **TCP header**, the **Payload**, and an **Ethernet checksum**. The Ethernet header is *14 octets* and the checksum is *4 octets*.

Below the Ethernet packet, a large downward arrow points to the text *to driver 114*.

Fig. 4

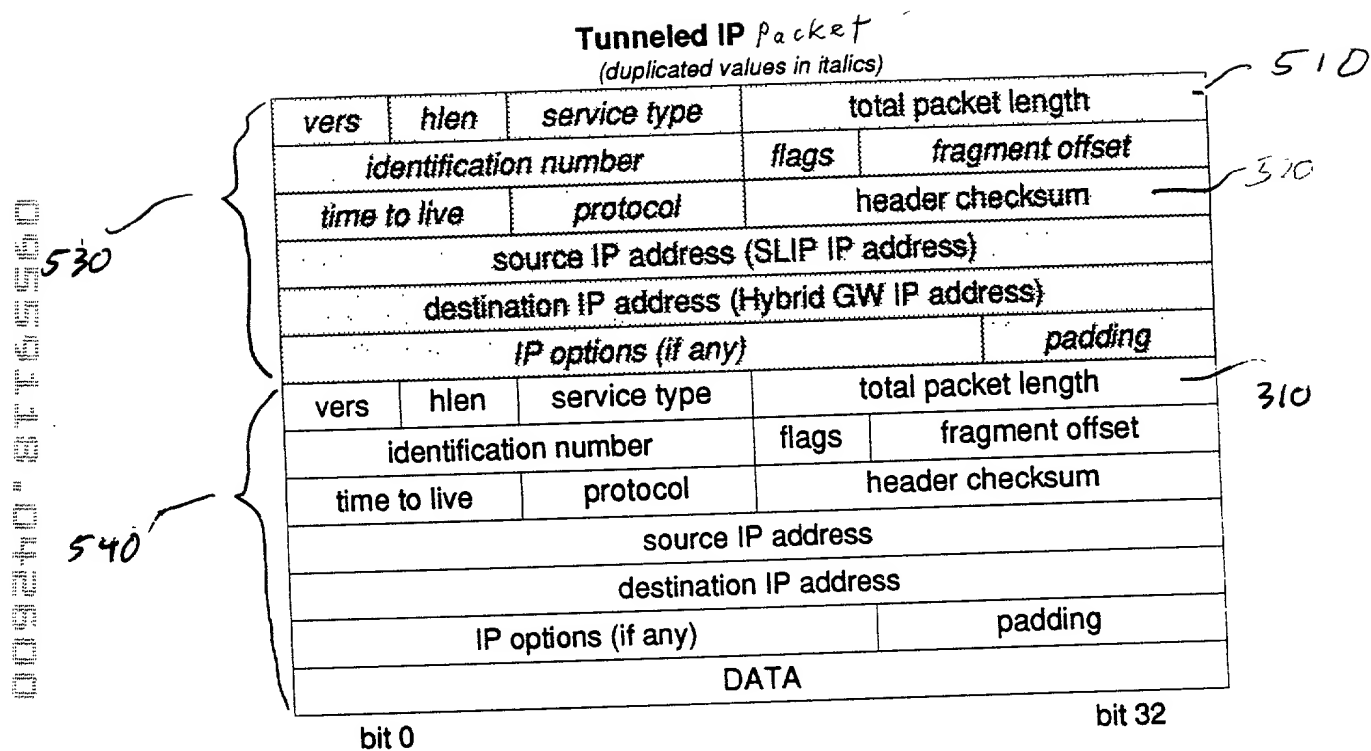


Fig. 5

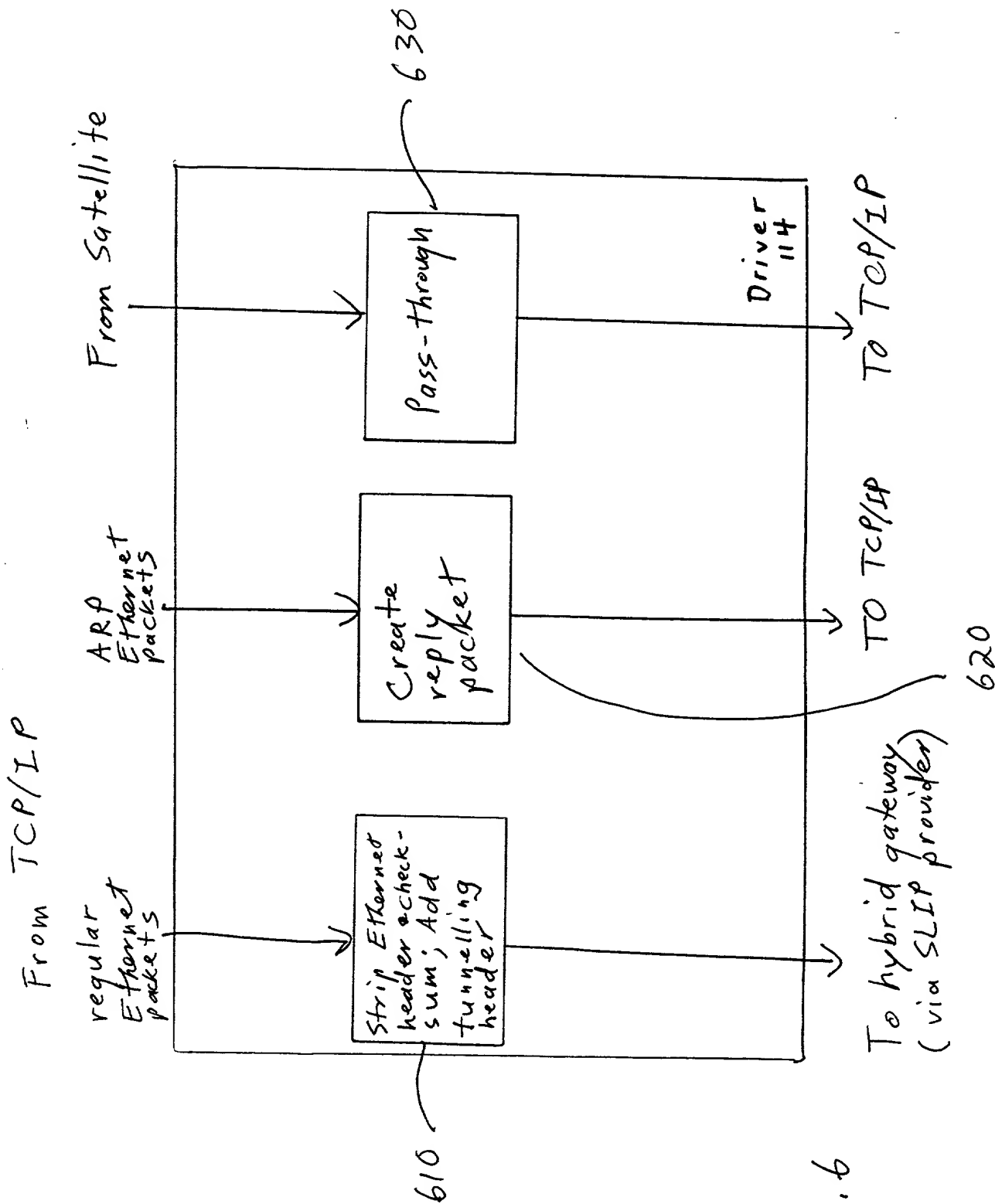


Fig. 6

005918-04300

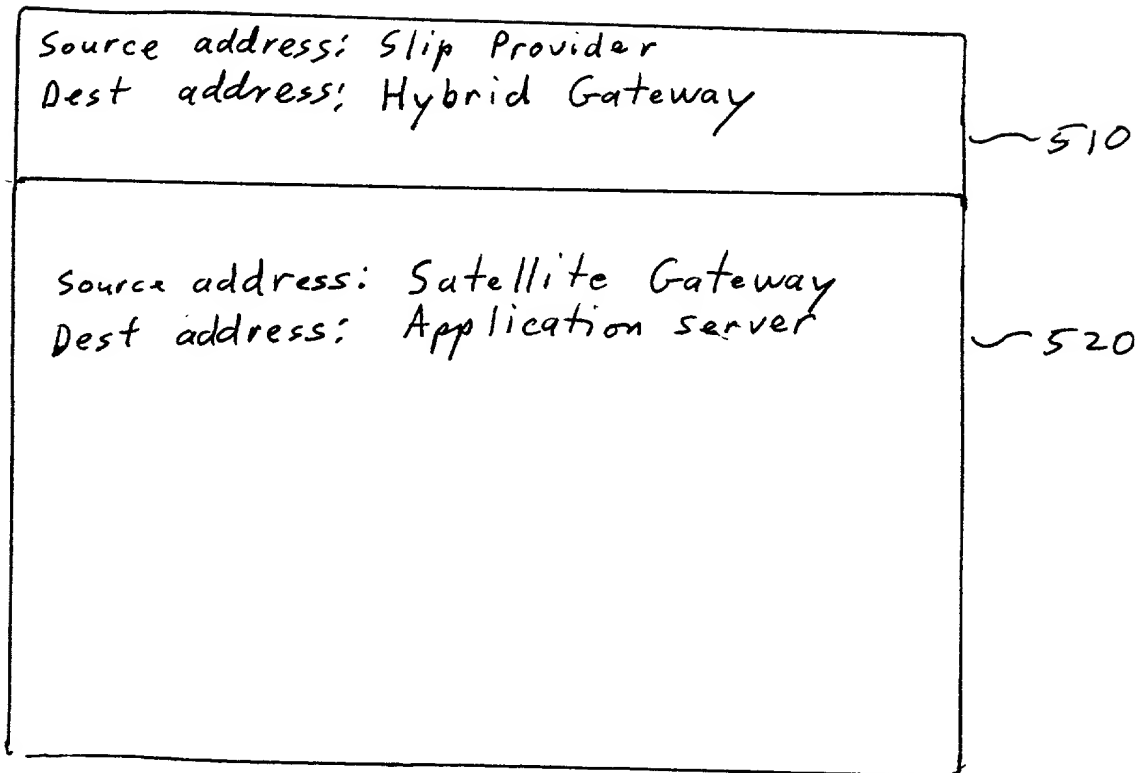


Fig. 7

driver

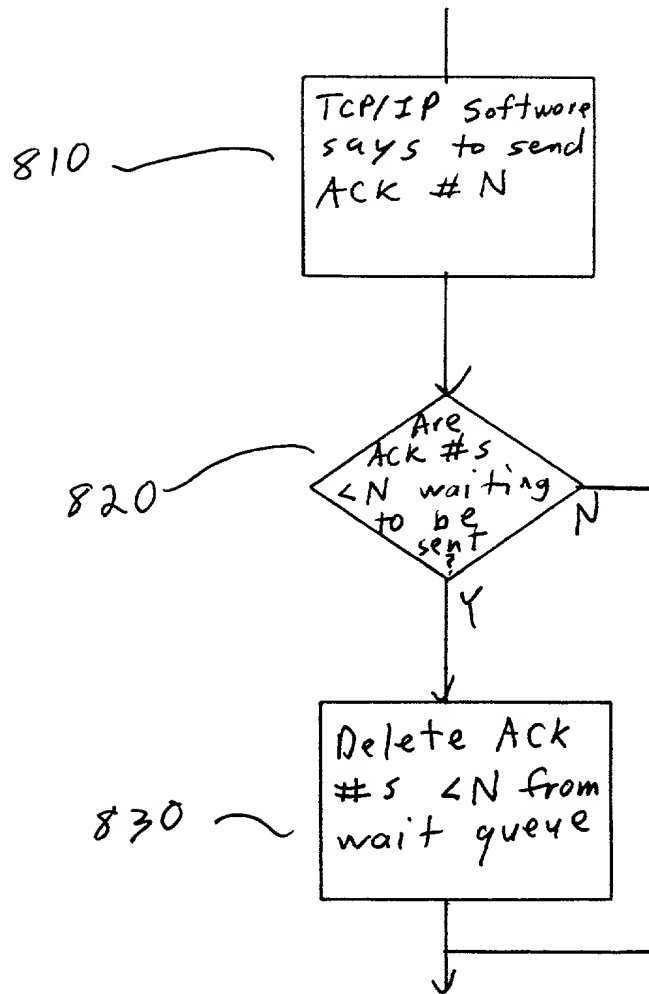


Fig. 8

095913.04300

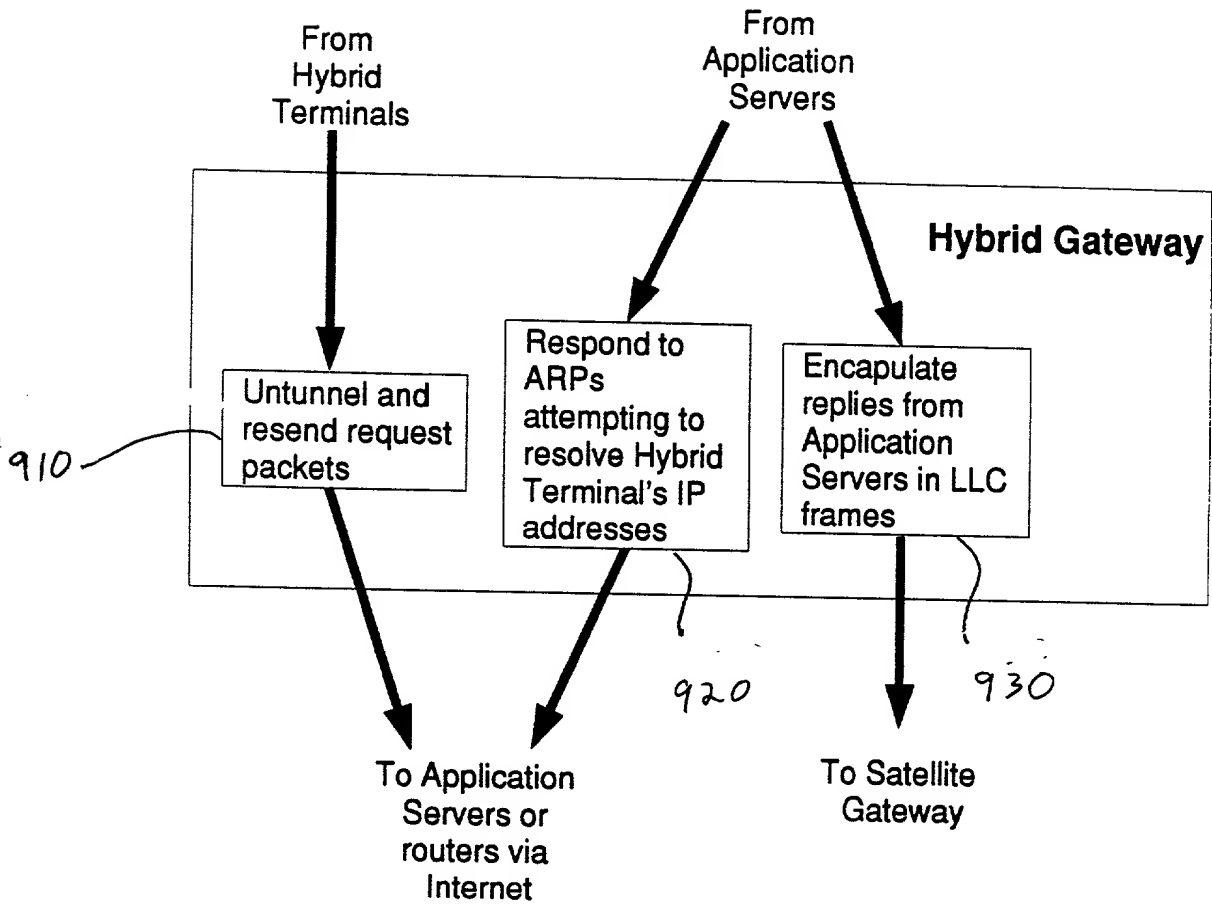


Fig. 9

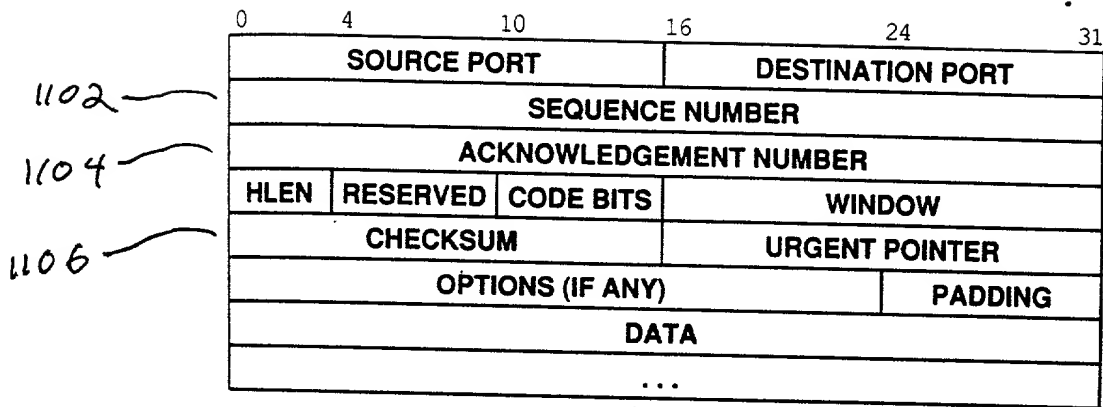
009240" 8116550

<i>030</i>	<i>1020</i>	<i>1010</i>
LLC Header	Satellite Header	IP datagram(payload)
Delivers packet to Satellite Gateway <i>Stripped off in Satellite Gateway</i>	Used to ID correct receiver terminal  <i>Stripped off in BIC driver in User Terminal</i>	Destined for TCP/IP package in User Terminal

Fig. 10



00000000000000000000000000000000



TCP Pocket  
Fig. 11

00940 BT6560

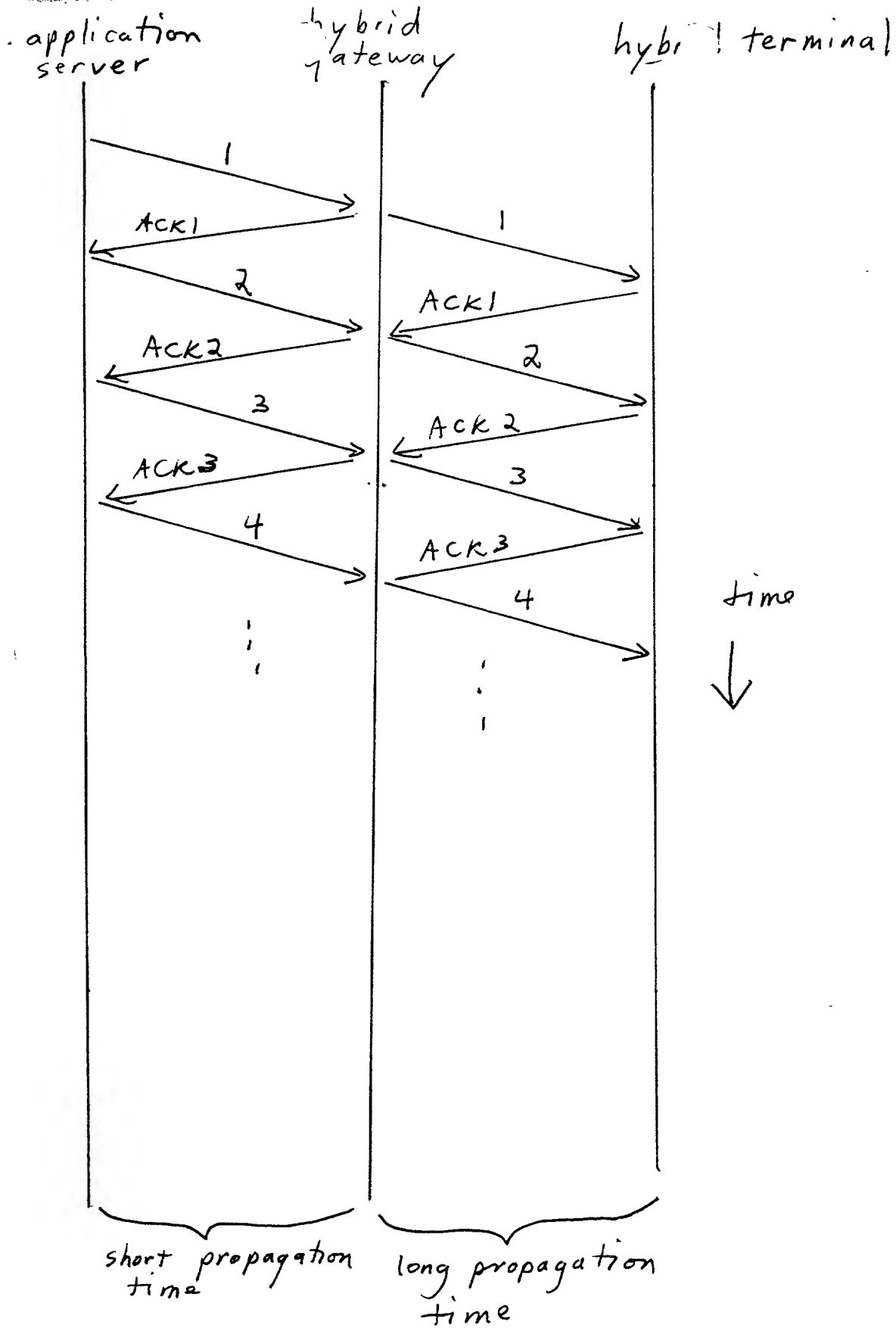


Fig. 12.

# Hybrid Gateway

Fig. 13(a)

Receive 'Open connection' packet 1302

Set up queue to save packets for this connection. Init saved values = 0 1304

Fig. 13(b)

Receive 'Close Connection' packet 1306

Delete queue and saved values for this connection 1308

Fig. 13(c)

No ACK for packet #x received from hybrid terminal before timeout period 1310

Resend saved packet #x to hybrid terminal 1312

# Hybrid Gateway

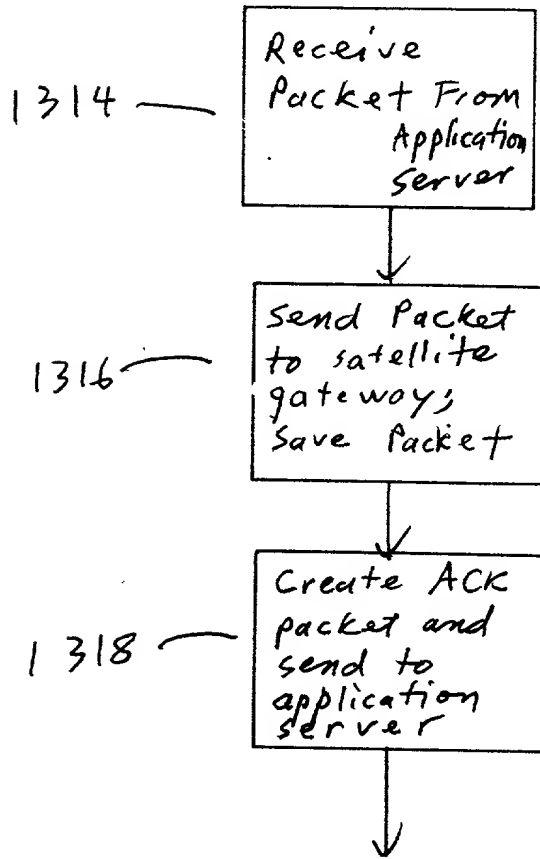


Fig. 13(d)

## Hybrid Gateway

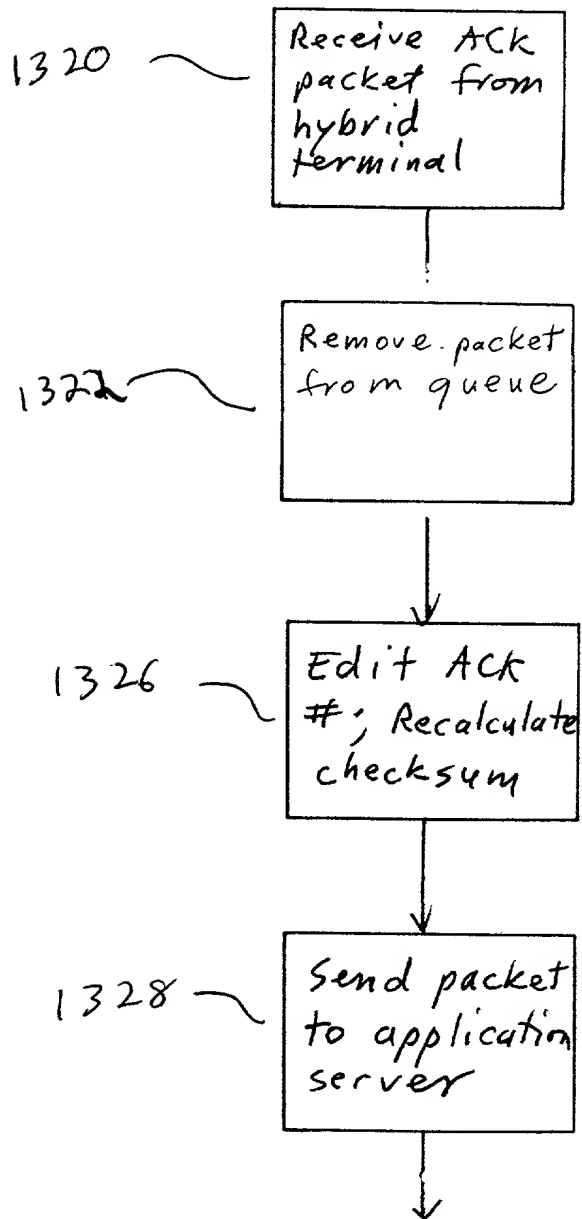


Fig. 13(e)

**COMBINED DECLARATION FOR PATENT APPLICATION  
AND POWER OF ATTORNEY**

Page 1 of 2  
PD-N94026

- ☒ Original
- ☐ Continuation
- ☐ Division
- ☐ Continuation-in-part
- ☐ Supplemental

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**APPARATUS AND METHOD FOR HYBRID NETWORK ACCESS**

the specification of which

- (check one) ☐ is attached hereto.
- ☒ was filed on June 8, 1994 as Application Serial No. 08/257,670 and (a) [other than supplemental] was amended on or (b) [supplemental] with amendments through N/A

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim<sup>1</sup> foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

_____ Number	_____ Country	_____ Day/Month/Year Filed	Priority Claimed <input type="checkbox"/> Yes <input type="checkbox"/> No
-----------------	------------------	-------------------------------	--

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____ Application Serial No.	_____ Filing Date	_____ Status (patented, pending, abandoned)
---------------------------------	----------------------	---

I hereby appoint the following attorneys, or agent and attorneys, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Gordon R. Lindeen, III, Registration No. 33,192,  
Wanda K. Denson-Low, Registration No. 32,215.

Address all telephone calls to Gordon R. Lindeen, III (310) 568-6483,

Address all correspondence to K. Lum, Hughes Aircraft Company, Bldg. CO1/A126, P.O. Box 80028, Los Angeles, California 90080-0028.

I hereby declare that all statement made herein of my own knowledge are true and that all statement s made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR JOINT INVENTOR Douglas M. Dillon	INVENTOR'S SIGNATURE <i>Douglas M Dillon</i>	DATE 8/8/94
RESIDENCE (CITY AND STATE) GAITHERSBURG, MD		CITIZENSHIP USA
POST OFFICE ADDRESS 4477 <sup>RD</sup> / Bell Bluff Court GAITHERSBURG MD 20879		
FULL NAME OF SOLE OR JOINT INVENTOR N/A	INVENTOR'S SIGNATURE	DATE
RESIDENCE (CITY AND STATE)		CITIZENSHIP
POST OFFICE ADDRESS		
FULL NAME OF SOLE OR JOINT INVENTOR N/A	INVENTOR'S SIGNATURE	DATE
RESIDENCE (CITY AND STATE)		CITIZENSHIP
POST OFFICE ADDRESS		
FULL NAME OF SOLE OR JOINT INVENTOR N/A	INVENTOR'S SIGNATURE	DATE
RESIDENCE (CITY AND STATE)		CITIZENSHIP
POST OFFICE ADDRESS		
FULL NAME OF SOLE OR JOINT INVENTOR N/A	INVENTOR'S SIGNATURE	DATE
RESIDENCE (CITY AND STATE)		CITIZENSHIP
POST OFFICE ADDRESS		

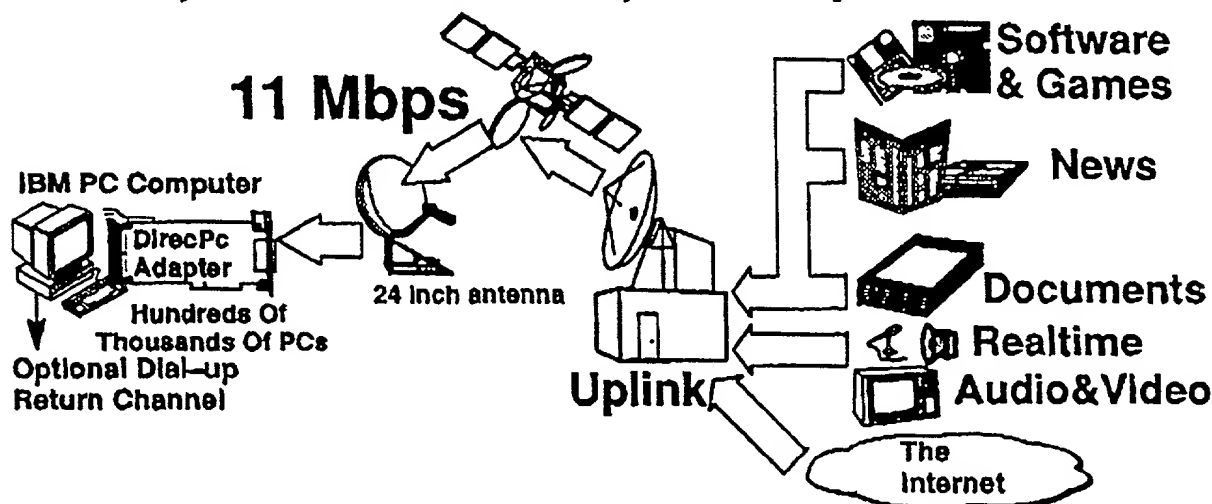
[illegible]

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DirecPc is a satellite, one-way broadcast network offering three services to the IBM compatible PC:

1. Digital package delivery – Software, games, multi-media news, electronic documents and any other data in the form of a collection of PC files are made available to the PC on a scheduled or on-demand basis.
2. Data Pipe – provides multiple independent digital streams to carry video, audio, etc.
3. Hybrid Internet Access – high-speed, low-cost Internet connection where DirecPc carries packets from the Internet and dial-up modem carries packets into the Internet.



To receive the DirecPc broadcast, a PC is equipped with a PC plug-in card and a 24 inch antenna. DirecPc uses a full Galaxy class Ku-Band transponder to provide an 11 Mbps broadcast channel. DES encryption based conditional access ensures that a receiver PC may only access data it is authorized to receive.

## Section 1 PC User Perspective

The PC hardware consists of the DirecPc adapter, an antenna and a TVRO standard coaxial cable. The DirecPc adapter is a 16-bit ISA adapter providing throughput comparable to a 16-bit ISA ethernet adapter.

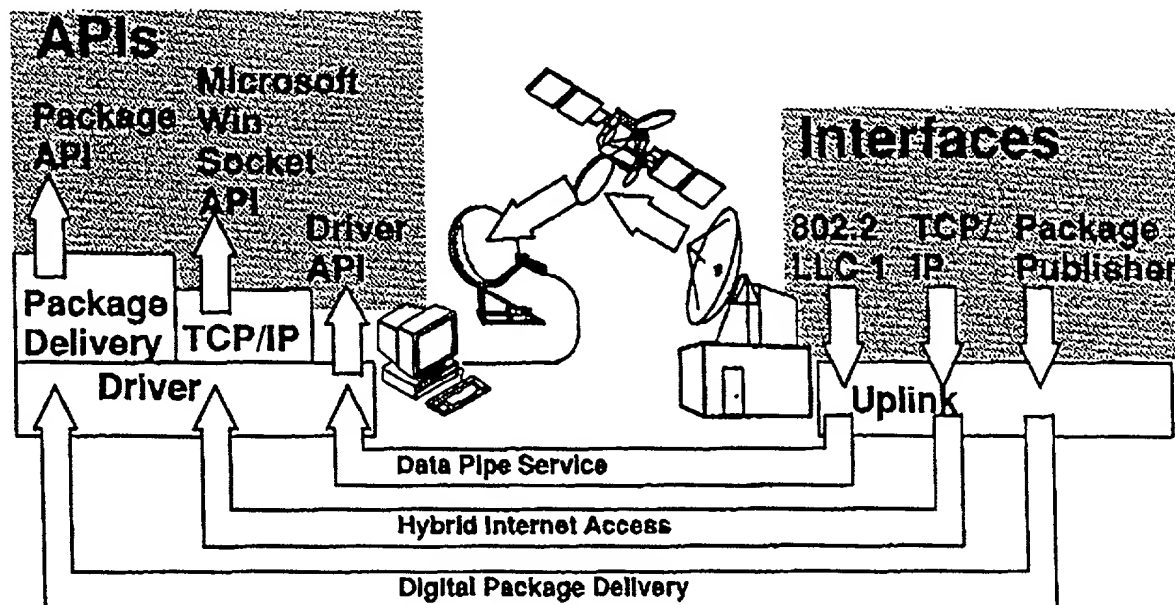
The software appears to the user as a set of Windows applications. The applications:

- assist installation and service registration.
- support package delivery by allowing the user to select packages for reception, be notified when packages are received. The software also supports billing for packages received.
- provide a TCP/IP protocol stack and set of applications for Hybrid Internet access.
- provide a driver DLL on which third party software may layer data pipe applications.

The software for a data pipe service is provided by the enterprise providing the service.

Communications back to the uplink is required for billing purposes and also for Hybrid Internet access. This communications takes place via the PC's dial-up AT command-set modem.

The DirecPc architecture is open, allowing content providers complete control over their content and the user interface to their content. DirecPc provides interfaces to content providers at the uplink and Application Programming Interfaces (APIs) on the receiving PC. The specifications and APIs are available on request.



A content provider is an organization that supplies the data sent over the DirecPC system. A content provider can be categorized as being either a:

1. **Package Publisher** – uses the DirecPc system as a means of selling and distributing software packages or data packages where a package consists of a set of PC files.
2. **Data Pipe Provider** – uses the DirecPc system as a data pipe transport mechanism. User services (News Feeds, Internet Access, Broadcast Video and Audio, etc.) are layered on top of a datagram transport.

**DirecPc supports multiple content providers of both kinds.**

The DirecPc system allows data packages to distributed and purchased. The term "package" refers to any data (including electronic documents, multi-media data, software packages, games, etc.) which can take the form of a group of PC files.

To prepare a package for transmission, a publisher merges the package's files into a single file using the appropriate utility (e.g. PKZIP or ARJ) and loads the package into the uplink using an off-the-shelf file transfer mechanism (e.g. TCP/IP's FTP, floppy-disk, CD-ROM, X-Modem, etc.). Scheduling, pricing and conditional access restrictions can be performed either manually or automatically under publisher control when the package is loaded into the uplink.

**Phase A Data Sheet**

DirecPc's conditional access mechanism ensures that a user may only receive authorized packages. As part of initial registration, the user is provided a credit limit. The PC locally maintains a credit account. When the user selects a package for reception, the PC records the transaction and debits the account. A log of all package receptions is maintained on the PC's hard disk and can be browsed by the graphical front-end.

On uplink operator command, when the local credit limit is exceeded or when the user has purchased a certain number of packages, the PC makes a dial-up call to the DirecPc billing service. The call reports the billing information as well as usage information of packages received.

The usage information is used to provide feedback for future scheduling of packages. The reports given to publishers include, for each package reception, the name, address etc. of the recipient, the ID of the package and when package delivery took place.

A software package may either be transmitted on a scheduled basis or on-demand. Scheduled transfers are perfect for:

1. Periodical Distribution – examples include news and weather updates, electronic newspaper, magazine and catalog distribution.
2. Popular Package Delivery – packages for which there are expected to be multiple recipients. The most popular (or highest profit) packages would be scheduled more frequently to reduce the average time spent waiting, while less popular packages may be scheduled for overnight delivery. Scheduled delivery is lower cost than delivering a package on-request to each buyer. The schedule for individual packages is manually set by hub operators with the submission of the package.

Phase A package delivery allows a single transmission at any given time. The rate of transmission is settable under operator control at speeds up to 2 Mbits/sec. Support for simultaneous transmissions will be provided in a subsequent release of DirecPc software.

A software package may be transmitted on-demand in the gaps between scheduled transmissions. Such a transfer delivers the information more quickly to the requesting PC, but at greater cost as the package is not broadcast. A PC uses its modem to request the package.

DirecPc's low bit error rate and high availability ensure that packages are reliably delivered with one transmission. For even greater reliability, each package may be set to employ one or more of the following methods to ensure fail-safe delivery:

1. Repeated Transmission – A package may be scheduled to be sent more than once to ensure its delivery. A receiving PC, if any packets are lost on the first transmission, fills in the gaps on subsequent transmissions. This mechanism ensures extremely high probability of delivery without requiring use of a return link.
2. Retransmission requests – a PC, if it misses parts of a package, may request retransmission of those parts. The missing parts are multi-cast so that parts need only be retransmitted once even though they were missed by multiple PCs. Retransmission requests are most appropriate for scheduled individual package transmissions where the package is scheduled less frequently.
3. Delivery confirmation – a PC, after successfully receiving and installing a package, may send a confirmation to the hub. These confirmations are tabulated and provided in the form of reports to the publisher. This method is more expensive in that it requires that a delivery confirmation (entailing a separate call) be sent by every receiving PC.

